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EDUCATION

Ph.D., Accounting. Michigan State University, Eli Broad School of Management. Major: Auditing; Minor: Statistics. 1994.

B.S., Accounting. Auburn University (cum laude), School of Accountancy. 1982.

PROFESSIONAL EXPERIENCE

- 8/94-Present North Carolina State University, Raleigh, NC. Deloitte Professor of Enterprise Risk Management, Professor of Accounting, and Director of NC State's Enterprise Risk Management Initiative.
- 9/90-8/94 Michigan State University, East Lansing, MI. Teaching and Research Assistant.
- 7/87-9/90 American Institute of Certified Public Accountants (AICPA), Auditing Standards Division, New York, NY. Technical Manager.
- 8/82-7/87 Ernst & Young, Nashville, TN. Audit Manager.

NC STATE ENTERPRISE RISK MANAGEMENT (ERM) INITIATIVE

- Serve as the founding Director of the College's ERM Initiative (launched in fall 2003) that provides leadership in the proactive management of entity-wide risks through outreach to business professionals, research advancing knowledge about ERM, and curriculum development for the graduate education of the next generation of executives.
- Helped secure external funding for 2004 launch of the ERM Initiative involving a \$1 million dollar seed funding gift from the Bank of America Foundation and several ERM research and curriculum grants. We now offer a number of executive education programs and other events that generate over \$200,000 net profit per year as ongoing support for the Initiative and the Department.

- Oversee the ERM Initiative’s Advisory Board consisting of risk management executives from over 40 organizations across the U.S. that include mostly Fortune 500 companies and international consulting firms. Board meets twice annually.
- Host ERM Roundtable Summits twice a year (spring and fall) for business executives, faculty, and students. Our ERM Roundtable Summits feature four-to-five chief risk officers or equivalent risk management executives who share ERM best practices. Attendance ranges between 120-150 business professional attendees at each event, with individuals traveling to Raleigh from over 20 states in the U.S. to attend. Almost sixty ERM Roundtables have been held since February 2004.
- Develop, market, and host Executive Education on ERM for business professionals. The Initiative also partners with the North Carolina Commissioner of Banks to develop director education for bank directors.
- Engaged to consult with boards and senior executives on a custom basis for ERM related training and ERM process design and assessment. Have been engaged by publicly traded organizations or large non-profits in Colorado, Georgia, Illinois, Indiana, Iowa, Maryland, Michigan, Minnesota, Missouri, New York, North Carolina, Puerto Rico, South Carolina, South Dakota, Virginia, Washington, and Washington, DC. in addition to corporations based in Madrid, Spain and Paris, France.
- Design and support the ERM Initiative’s web site (www.erm.ncsu.edu). The web site includes an “ERM Resources” section that features over 480 written summaries, abstracts, and video based resources that faculty, staff, and graduate students in the ERM Initiative prepare. Visitors to the website have grown from a monthly average of 1,247 in July 2009 to a monthly average of 15,400 in 2016. In the 2015-2016 academic year, over 180,000 individuals visited the website.
- Issue an *ERM in the News* newsletter (electronic) twice monthly. This is distributed via email to our database. We have about 1,600 individuals adding their name to our newsletter distribution list each year. About 12,000 individuals currently receive the newsletter.
- Represent NC State at the national and international level through participation in ERM conferences and advisory working groups and summits hosted by various business think-tanks and associations (such as COSO) and through presentations made at ERM focused conferences.
- Developed an ERM Practicum MBA elective course where students who have completed prerequisite coursework are assigned to an outside organization to help that organization launch an ERM process. We have offered this course each spring semester beginning in 2011. Since then, students have conducted 34 ERM practicum engagements helping organizations launch ERM.

JOURNAL PUBLICATIONS – ACADEMIC RESEARCH (BLIND REVIEWED)

1. Beasley, M. S., Branson, B. C., Pagach, D. P. An Analysis of the Maturity and Strategic Impact of Investments in ERM. ***Journal of Accounting and Public Policy***, Volume 34, Issue No. 3, May/June 2015, pp. 219-243.
2. Beasley, M. S., Branson, B. C., Pagach, D. P. (2015). An Examination of the Assessment of Top Risks on the Horizon: Evidence from Executives and Risk Professionals. ***Journal of Enterprise Risk Management***, Volume 1, Number 1, February 2015.
3. Beasley, Mark, Marianne Bradford, and Bruce Dehning, “The Value Impact of Strategic Intent on Firms Engaged in Information Systems Outsourcing,” ***International Journal of Accounting Information Systems***, International Journal of Accounting Information Systems, June 2009, Volume 10, Issue 2, pp. 79-96.
4. Beasley, Mark, Joseph Carcello, Dana Hermanson, and Terry Neal. “The Audit Committee Oversight Process” ***The Contemporary Accounting Research***. Spring 2009, Volume 26, Number 1, pp. 65-122.
5. Beasley, Mark, Don Pagach, and Richard Warr. “Information Conveyed in Hiring Announcements of Senior Executives Overseeing Enterprise-Wide Risk Management Processes,” ***Journal of Accounting, Auditing, and Finance***, Summer 2008, Vol. 23, Issue 3, pp. 311-332.
6. Beasley, Mark, Richard Clune, and Dana Hermanson, “The Role of the Internal Audit Function in Enterprise Risk Management.” ***Journal of Forensic Accounting***, Volume IX, Number 1, June 2008, pp. 1-20.
7. Beasley, Mark S., “Improving Corporate Governance by Taking an Enterprise View of Risks.” ***Readings from the 2006 Annual CGA Conference*** hosted by the CGA Accounting Research Centre University of Ottawa, Ottawa, Canada.
8. Beasley, Mark S. and Dana Hermanson. “How Sales Executives Can Avoid Accounting Fraud.” ***Review of Business***, Volume 27: 1 Winter 2006, pp. 33-40.
9. Beasley, Mark S., Richard Clune and Dana Hermanson. “Enterprise Risk Management: An Empirical Analysis of Factors Associated with the Extent of Implementation.” ***Journal of Accounting and Public Policy***, Volume 24, Issue 6, November/December 2005, pp. 521-531.
10. Beasley, Mark S. and J. Gregory Jenkins. “The Relation Between Information Technology and Financial Statement Fraud.” ***Journal of Forensic Accounting***, Volume IV, Number 2, December 2003, pp. 217-232.
11. Beasley, Mark S. “SAS No. 99: A New Look at Auditor Detection of Fraud.” ***Journal of Forensic Accounting***, Volume IV, Number 1, June 2003, pp. 1-20.

13. Beasley, Mark S., Dana R. Hermanson, and Joseph V. Carcello. "Fraudulent Financial Reporting in the Oil and Gas Industry." *Oil, Gas, and Energy Quarterly*, Volume 50, Number 4, June 2002, pp. 795-804.
14. Beasley, Mark S. and Steven Salterio. "The Relationship Between Board Characteristics and Voluntary Improvements in Audit Committee Composition and Member Experience." *Contemporary Accounting Research*, Volume 18, Number 4, Winter 2001, pp. 1-34.
15. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Financial Reporting Fraud: Could It Happen To You?" *Journal of Corporate Accounting and Finance*, Volume 12, Number 4, May/June 2001, pp. 3-10.
16. Beasley, Mark S. and Kathy R. Petroni. "Board Independence and Audit Firm Type." *Auditing: A Journal of Practice and Theory*, Volume 20, Number 1, March 2001, pp. 97-114.
17. Beasley, Mark S., Joseph V. Carcello, Dana R. Hermanson, and Paul D. Lapedes. "Fraudulent Financial Reporting: Consideration of Industry Traits and Corporate Governance Mechanisms." *Accounting Horizons*, Volume 14, Number 4, December 2000, pp. 441-454.
18. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Should You Offer a Job to Your External Auditor?" *Journal of Corporate Accounting and Finance*, May/June 2000.
19. Beasley, Mark S. and Kathy A. Krawczyk. "Executive Compensation: Did IRC Section 162(m) Change Anything?" *Journal of Business and Economic Perspectives*, Volume XXV, Number 2, Fall/Winter 1999.
20. Allen, Robert, Mark S. Beasley, and Bruce C. Branson. "Improving Analytical Procedures: A Case of Using Disaggregate Multilocation Data." *Auditing: A Journal of Practice and Theory*, Volume 18, Number 2, Fall 1999, pp. 128-142.
21. Sawyers, Roby B. and Mark S. Beasley. "The Impact of State Taxation on the Investment Portfolio of Banks." *The Journal of American Taxation Association*, 1998 (Supplement), pp. 1-14.
22. Beasley, Mark S. "An Empirical Analysis of the Relation Between the Board of Director Composition and Financial Statement Fraud." *The Accounting Review*, October 1996, Volume 71, Number 4, pp. 443-465. Paper is the recipient of the American Accounting Association's (AAA's) 1995 Competitive Manuscript Award.
23. Petroni, Kathy R. and Mark S. Beasley. "Errors in Accounting Estimates and Their Relation to Audit Firm Type." *Journal of Accounting Research*, Spring 1996, Volume 34, Number 1, pp. 151-171.

JOURNAL PUBLICATIONS – PRACTITIONER (BLIND REVIEWED)

1. Beasley, M. S., Showalter, S. D. ERM and Sustainability: Together on the Road Ahead. **Strategic Finance**, Volume 97, Issue 3, March 2015, pp. 32-39.
2. Beasley, M. S., Hancock, B. V. Becoming a Strategic Risk Adviser. **Journal of Accountancy**, Volume 219, Issue No. 2, February 2015, pp. 38-42.
3. Beasley, M. S., “En Garde With ERM,” **Business Officer**, Volume 47 Number 2, September 2013. pp. 25-29.
4. Beasley, M. S., “Enterprise Risk Management Can be a Strategic Opportunity,” Thought Paper in NACUBO’s **Perspectives: Presenting Through Leader's Points of View**. Washington, DC: National Association of College and University Business Officers. http://www.nacubo.org/Documents/products/Final_ERM_092013.pdf
5. Beasley, Mark S., Bruce Branson, and Bonnie V. Hancock, “Are You Identifying Your Most Significant Risks?” **Strategic Finance**, Vol. XCII, No. 5, November 2010. pp. 28-35.
6. Beasley, Mark S., Bruce Branson, and Bonnie V. Hancock, “ERM: Opportunities for Improvement,” **Journal of Accountancy**, Vol. 208, No 3. September 2009. pp. 28-32.
7. Beasley, Mark S., Bruce Branson, and Bonnie V. Hancock, “The Role of the Audit Committee in Risk Oversight,” **Journal of Accountancy**, Vol. 205, No 4. April 2008. pp. 44-51.
8. Beasley, Mark and Mark Frigo, “Strategic Risk Management: Protecting and Creating Value,” **Strategic Finance**, Volume LXXXVIII: 10, May 2007, pp. 24-31.
9. Beasley, Mark S., Al Chen, Karen Nunez, and Lorraine Wright, “A Look at Leveraging Balanced Scorecards into Enterprise Risk Management.” **Strategic Finance**, Volume LXXXVII: 9, March 2006, pp. 49-55.
10. Beasley, Mark S., J. Gregory Jenkins, and Roby B. Sawyers. “Brainstorming to Identify and Manage Tax Risks.” **The Tax Adviser**, Volume 37: 3 March 2006, pp. 158-162.
11. Beasley, Mark S., Richard Clune and Dana Hermanson. “ERM: A Status Report.” **Internal Auditor**, Volume LXII:I, February 2005, pp. 67-72.
12. Beasley, Mark S., Marianne Bradford, and Don Pagach. “Outsourcing? At Your Own Risk.” **Strategic Finance**, Volume LXXXVI, Number 1, July 2004, pp. 23-29.
13. Beasley, Mark S. and Dana R. Hermanson. “Going Beyond Sarbanes-Oxley Compliance: Five Keys to Creating Value.” **The CPA Journal**, Volume LXXIV, Number 6, June 2004, pp. 11-13.

14. Beasley, Mark S. and J. Gregory Jenkins. "A Primer for Brainstorming Fraud Risks." **Journal of Accountancy**, Volume 196, Number 6, December 2003, pp. 32-38.
15. Beasley, Mark S., J. Gregory Jenkins, and Ericka F. Kranitz. "Implementing ERM: Brainstorming About Risks." **Internal Auditing**, Volume 18, Number 6, November/December 2003, pp. 3-10 (cover story).
16. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Preventing and Detecting Financial Statement Fraud." **New Perspectives on Healthcare Auditing**, Volume 21, Number 1, Winter 2002, pp. 10-13.
17. Montgomery, Daniel D., Mark S. Beasley, Susan L. Menelaides, and Zoe-Vonna Palmrose. "Auditor's New Procedures for Detecting Fraud." **Journal of Accountancy**, Volume 193, Number 5, May 2002, pp. 63-66.
18. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "How Financial Executives Can Promote Quality Financial Reporting." **Corporate Finance Review**, Volume 6, Number 2, September/October 2001, pp. 36-41. Article reprinted in **Internal Auditing**, Volume 17, Number 1, January/February 2002, pp.29-34.
19. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Prevention and Detection of Financial Statement Fraud." **Internal Auditing**, Volume 16, Number 3, May/June 2001, pp. 8-12.
20. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Top Ten Audit Deficiencies." **Journal of Accountancy**, April 2001, pp. 63-66.
21. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Preventing Fraudulent Financial Reporting." **The CPA Journal**, Volume LXX, Number 12, December 2000, pp. 14-21.
22. Beasley, Mark S., Joe V. Carcello, and Dana R. Hermanson. "Fraudulent Financial Reporting: Governance Implications for Internal Auditors." **Internal Auditing**, May/June 1999, pp. 3-7.
23. Beasley, Mark S., Joe V. Carcello, and Dana R. Hermanson. "Financial Fraud Not Permitted Here – Just Say 'No'." **Strategic Finance**, May 1999, pp. 53-57.
24. Beasley, Mark S. and Frank A. Buckless. "Auditor Responsibilities Related to the Year 2000 Issue." **The CPA Journal**, April 1999, pp. 48-51.
25. Beasley, Mark S. "Boards of Directors and Fraud." **The CPA Journal**, April 1998, Volume LXVIII, Number 4, pp. 56-58.
26. Beasley, Mark S., Judith M. Sherinsky, and Dan M. Guy. "When Do the Provisions of SSARS No. 1 Apply?" **Journal of Accountancy**, February 1992, pp. 62-71. Condensed versions of this article have been published in the **Connecticut CPA Quarterly**, Educational &

Research Foundation of the Connecticut Society of CPAs, December 1992, pp. 4-7; and *CPA Line Items*, Montana Society of CPAs, June/July 1992, pp. 1-4.

27. Beasley, Mark S., Peg Fagan, and Jane Mancino. "For the Practicing Auditor - More Qs and As on the New SASs." *Journal of Accountancy*, January 1989, pp. 105-110.

RESEARCH MONOGRAPHS and THOUGHT PAPERS

1. Beasley, Mark S., Branson, B. C., Hancock, B. V. *2016 The State of Risk Oversight: An Overview of Enterprise Risk Management Practices –7th Edition*. ERM Initiative. Raleigh, NC: NC State and AICPA. April 2016. Note: Over 7,200 copies downloaded from ERM Initiative website in first month of issuance (that does not include downloads from the AICPA website). This study was highlighted in *The Wall Street Journal's* "Risk and Compliance Section" (April 22, 2016).
2. Beasley, Mark S., Branson, B.C., and Pagach, D. (NC State Research Team), Scott, P. DeLoach, J. and Donahue, K. (Protiviti Team), *Executive Perspectives on Top Risks for 2016*, published by Protiviti, March 2016. Note: Over 1,300 copies downloaded from ERM Initiative website in first 2 months and over 990 individuals participated in a webinar featuring the key results.
3. Beasley, Mark S., Branson, B. C., Hancock, B. V. *Enterprise Risk Oversight: A Global Analysis*, published by Chartered Institute of Management Accountants (London), June 2015. Note: Over 6,900 copies of the report downloaded from the ERM Initiative website in first month of release.
4. Beasley, Mark S., Branson, B. C., Hancock, B. V. *2014 Report on the Current State of Enterprise Risk Oversight –6th Edition*. ERM Initiative. Raleigh, NC: NC State and AICPA. February 2015. Note: Over 4,600 copies downloaded from ERM Initiative website in first 2 months.
5. Beasley, Mark S., Branson, B.C., and Pagach, D. (NC State Research Team), Scott, P. DeLoach, J. and Donahue, K. (Protiviti Team), *Executive Perspectives on Top Risks for 2015*, published by Protiviti, February 2015. Note: Over 1,700 copies downloaded from ERM Initiative website in first 2 months. Plus monograph findings covered in over 20 business press outlets including *The Wall Street Journal Online*, *Compliance Week* (2 articles), *CFO.com*, and numerous other outlets.
6. Beasley, Mark S., Branson, B. C., Hancock, B. V. *2013 Report on the Current State of Enterprise Risk Oversight –5th Edition*. ERM Initiative. Raleigh, NC: NC State and AICPA. July 2014.

7. Beasley, Mark S., Branson, B.C., and Pagach, D. (NC State Research Team), Scott, P. DeLoach, J. and Donahue, K. (Protiviti Team), **Executive Perspectives on Top Risks for 2014**, published by Protiviti, February 2014.
8. Beasley, Mark S., Joseph V. Carcello, Dana R. Hermanson, and Terry L. Neal, **An Analysis of Alleged Auditor Deficiencies in SEC Fraud Investigations: 1998-2010**, Published by the Center for Audit Quality (CAQ), Washington, DC, May 2013. Study has been cited by *Compliance Week, CFO.com, AccountingToday, Reuters*, among others.
9. Beasley, Mark S., Branson, B.C., and Pagach, D. (NC State Research Team), Beaumier, C. DeLoach, J. and Donahue, K. (Protiviti Team), **Executive Perspectives on Top Risks for 2013**, published by Protiviti, February 2013 (12,000 copies downloaded since issuance).
10. Beasley, Mark S., Branson, B. C., Hancock, B. V. **2012 Report on the Current State of Enterprise Risk Oversight – 4th Edition**. ERM Initiative. Raleigh, NC: NC State and AICPA. July 2012.
11. Beasley, Mark S., Branson, B. C., Hancock, B. V. **How to Evaluate Enterprise Risk Management Maturity--CGMA Tools** (pp. 16). London: CGMA. (January 2012).
12. Beasley, Mark S., Branson, B. C., Hancock, B. V. (2012). **Case Study: How to Evaluate Enterprise Risk Management Maturity--CGMA Case Study** (pp. 15). London: CGMA. (January 2012).
13. Beasley, Mark S., Branson, B. C., Hancock, B. V. **2011 Report on the Current State of Enterprise Risk Oversight – 3rd Edition**. ERM Initiative. Raleigh, NC: NC State and AICPA. August 2011.
14. Beasley, Mark S., Bruce Branson, and Bonnie Hancock, **Developing Key Risk Indicators to Strengthen Enterprise Risk Management**, Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, January 2011. Over 50,000 downloads in first month of issuance, plus 20,000 hardcopies mailed to boards of public companies.
15. Beasley, Mark S., Bruce Branson, and Bonnie Hancock, **COSO's 2010 Report on Enterprise Risk Management: Current State of Enterprise Risk Oversight and Market Perceptions of COSO's ERM Framework**, Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, December 2010. Over 50,000 downloads in first month of issuance, plus 20,000 hardcopies mailed to boards of public companies.
16. Beasley, Mark S. and Bonnie Hancock, **Case Studies on ERM Implementations: Practical Illustrations for Launching Enterprise Risk Oversight**. AICPA. New York, NY. October 2010.
17. Beasley, Mark S., Bruce Branson, and Bonnie Hancock, **Enterprise Risk Oversight: A Global Analysis**, jointly issued by the American Institute of CPAs (New York, NY) and the Chartered Institute of Management Accountants (London), September 2010.

18. Beasley, Mark S., Joseph V. Carcello, Dana R. Hermanson, and Terry L. Neal. **Fraudulent Financial Reporting: 1998-2007, An Analysis of U.S. Public Companies**. Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, May 2010.
19. Beasley, Mark S., Branson, B.C., Hancock, B.V. **Strengthening Enterprise Risk Oversight for Strategic Value**. Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, November 2009 (over 27,000 downloads plus 5,000 hardcopies distributed).
20. Beasley, Mark S., Branson, B.C., Hancock, B.V. **Effective Enterprise Risk Management: The Role of the Board of Directors**. Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, September 2009 (over 11,000 hardcopies distributed plus downloads (count unknown)).
21. Beasley, Mark S., Branson, B. C., Hancock, B. V. **2010 Report on the Current State of Enterprise Risk Oversight – 2nd Edition**. ERM Initiative. Raleigh, NC: NC State and AICPA. February 2010. Over 3,000 downloads in first two months.
22. Branson, B. C., Beasley, M. S., Hancock, B. V. **Report on the Current State of Enterprise Risk Oversight – 1st Ed.**. Management Accounting Research Series. Durham, NC: AICPA. International, April 2009.
23. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. **Fraud-Related SEC Enforcement Actions Against Auditors: 1987-1997**. Commissioned by the AICPA Auditing Standards Board (ASB), AICPA, New York, NY, August 2000.
24. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies**. Published by the Committee of Sponsoring Organizations of the Treadway Commission (COSO), New York, NY, March 1999.

INVITED PUBLICATIONS

1. Beasley, Mark S. and Curtis, P., **Risk Angles: Five Questions on Risk Assessment**, Deloitte, LLP, New York, NY. January 2013.
2. Beasley, Mark S. “Assessing the Effectiveness of Your Organization's Enterprise Risk Oversight.” **AICPA Business Briefs**, February 2012, New York, NY: AICPA
3. Beasley, Mark S. “Increasing Risk Awareness for Mission Critical Objectives of Not-for-Profit Organizations.” **AICPA's Not-for-Profit Brief**. March 2011, AICPA, Durham, NC.

4. Beasley, Mark S. "Improving Board Risk Oversight: Eight Simple Steps." *AICPA Business Briefs*, February 2011.
5. Beasley, Mark S., "Enterprise Risk Management: Is it Relevant to Government?" *AICPA Government Accountability Brief*, February 2010, AICPA, Durham, NC (over 7,000 downloaded).
6. Beasley, Mark S., "Time to Teach ERM," *Internal Auditor*, Volume LXVI: 1, February 2009, pp. 61-63.
7. Beasley, Mark S., "Board and Audit Committee Involvement in Risk Management Oversight." *AICPA CPA Insider*. AICPA, September 8, 2008.
8. Beasley, Mark S. and Dana Hermanson. "Audit Committee Oversight – It's More than Asking the Right Questions." *Corporate Board*, November/December 2004, pp. 7-11.
9. Beasley, Mark S. "Enterprise Risk Management: A Look at COSO's Proposed Framework." *The Wisconsin CPA*, Volume 8, Number 7, July/August 2004, pp. 23-24.
10. Beasley, Mark S., Doug Prawitt, and Larry Rittenberg. "COSO Launches New Study on Managing Enterprise Risks." *The Auditor's Report*, Volume 25, Number 2, Spring 2002, pp. 20-21.
11. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Fraud-Related SEC Enforcement Actions Against Auditors: 1987-1997." *The Auditor's Report*, Volume 24, Number 1, Fall 2000, pp. 18-19.
12. Beasley, Mark S. "Examining Corporate Governance Research: Any Consistencies with Calls for Reform?" *The Auditor's Report*, Volume 23, Number 1, Fall 1999, pp. 6-8.
13. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Financial Statement Fraud: Implications for Corporate Directors." *Director's Monthly*, Volume 23, Number 9, September 1999, pp. 4-5.
14. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Financial Fraud and Audit Committee Reform." *INSIGHTS: The Corporate & Securities Law Advisor*, Volume 13, Number 7, July/August 1999, pp. 20-24.
15. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Audit Committees: The Rising Expectations." *The Corporate Board: The Journal of Corporate Governance*, Volume XX, Number 117, July/August 1999, pp. 1-5.
16. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies." *The Auditor's Report*, Summer 1999, pp. 15-17.

17. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "Fraudulent Financial Reporting 1987-1997: Trends in US Public Companies." *Directorship*, Vol. XXV, No 5, May 1999, pp. 14-18.
18. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "SPECIAL REPORT: COSO's New Fraud Study: What It Means for CPAs." *Journal of Accountancy*, May 1999, pp. 12-13.
19. Beasley, Mark S. "Consideration of Internal Auditors' Work." *In Our Opinion*, Volume 6, Number 2, April 1990, pp. 1-2.
20. Beasley, Mark S. "ARSC Revisits the 'Plain-Paper Issue.'" *In Our Opinion*, Volume 6, Number 1, January 1990, pp. 1-2.

BOOKS

1. Arens, Alvin, Randy Elder, and Mark S. Beasley. *Auditing and Assurance Services: An Integrated Approach*, 8th-16th Editions. Prentice Hall, Upper Saddle River, NJ, January 2016 (856 pages).
2. Beasley, Mark S. and Mark Frigo, Book Chapter – "Chapter 3 ERM and Its Role in Strategic Planning and Strategy Execution" included in *Enterprise Risk Management*, 1st Edition. John Wiley & Sons, 2010.
3. Carcello, Joseph and Mark Beasley. *GAAS Guide*. CCH - Aspen Publishers, 2016 Editions (October 2015), 2015 Edition (October 2014), 2014 Edition (October 2013), 2013 Edition (October 2012), 2012 Edition (October 2011), 2011 Edition (October 2010), 2010 Edition (October 2009), 2009 Edition (October 2008), 2008 Edition (December 2007), 2007 Edition (December 2006), 2006 Edition (December 2005), 2005 Edition (December 2004).
4. Beasley, Mark, Frank Buckless, Steven Glover, and Douglas Prawitt. *Auditing Cases: An Active Learning Approach*, 1st-5th Editions. Prentice Hall, Upper Saddle River, NJ, October 2014 (396 pages).
5. Beasley, Mark S. and Frank Buckless. *MoviesDoorToDoor.com: How Accounting Helped Make the Difference*. Prentice Hall, Inc., Upper Saddle River, NJ, December 2001 (137 pages).
6. Beasley, Mark and Randy Elder. *Sarbanes-Oxley Act of 2002: Impacting the Accounting Profession* (monograph). Prentice Hall, Upper Saddle River, NJ, July 2004.
7. Arens, Al, Mark S. Beasley, and Randy Elder. *Overview of the Sarbanes-Oxley Act of 2002 with Other Changes in Auditing and the Public Accounting Profession* (monograph). Prentice Hall, Upper Saddle River, NJ, August 2003.

8. Arens, Alvin, Randy Elder, and Mark S. Beasley. **Essentials of Auditing and Assurance Services: An Integrated Approach**, 1st Edition. Prentice Hall, Upper Saddle River, NJ, July 2002 (539 pages).
9. Beasley, Mark S. and Thomas E. McCaslin. **Study Guide to Accompany Auditing**, 4th Edition. The Dryden Press, Fort Worth, TX, December 1995.

CONTINUING EDUCATION MATERIALS

1. Beasley, Mark S. **AICPA's Accounting and Auditing Update Workshop Course Materials, Self Study Accounting and Auditing Update Workshop Course Materials, and Accounting and Auditing Update Workshop Video Course**, 1997 - 2016 Editions. AICPA, Durham, NC, April 1997-2016.
2. Beasley, Mark S. **Enterprise Risk Management CPE Course Materials**. AICPA/PDI Continuing Education Division, Jersey City, NJ, October 2004.
3. Beasley, Mark and Don Pagach. **2001 and 2002 Advanced Banking Workshops**, 1st and 2nd Editions. Summer 2001-2002. AICPA CPE course.
4. Beasley, Mark S. and Donald Pagach. **Depository Institutions: Advanced Accounting and Auditing Issues Course Materials**, 2001 and 2002 Editions. AICPA/PDI Continuing Education Division, Jersey City, NJ, March 2001 and 2002.
5. Tabor, Rick and Mark S. Beasley. **Audit Sampling**. Course. MicroMash, Englewood, CO, 2014.
6. Beasley, Mark and Michael Ramos. **2000 Accounting and Auditing Standards Refresher**. May 2000.

COMMENTARIES

1. Lapidés, Paul, Dana Hermanson, Mark Beasley, Joe Carcello, Todd DeZoort, Terry Neal, and James Tompkins, "21st Century Governance and Audit Committee Principles," Corporate Governance Center at Kennesaw State University, May 2007. These principles, updated in 2007, include thirteen corporate governance principles for boards and fifteen principles for audit committees that reflect changes in corporate governance since the issuance of the Sarbanes-Oxley Act of 2004 and other related regulatory and best practice guidance.

See <http://www.kennesaw.edu/cgc/papersandpress.html>

2. Lapidés, Paul, Dana Hermanson, Mark Beasley, Joe Carcello, Todd DeZoort, and Terry Neal, "21st Century Governance and Financial Reporting Principles," Corporate Governance Center at Kennesaw State University, March 2002. These principles have been fully embraced by the Institute of Internal Auditors and presented by the IIA to a special committee of the

NYSE. Principles have been widely distributed to key groups such as the SEC, NASDAQ, AMEX, Congress, and the business press.

See <http://www.kennesaw.edu/cgc/papersandpress.html>

3. Sawyers, Roby and Mark S. Beasley. "North Carolina Loophole Panel Should Have Pondered End to Bank Break." *State Tax Notes*, May 2001.
4. Sawyers, Roby and Mark S. Beasley. "Banks Unsound Tax Break." *News & Observer*, April 27 2001.
5. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. "COSO Report Defended." *Accounting Today*, July 10-23, 2000, p. 6.

WORKING PAPERS AND PROJECTS IN PROCESS

1. Viscelli, Therese, Hermanson, D., and Beasley, M. "ERM: The Strategic Effectiveness of ERM: Implications for Corporate Governance." This working paper is currently under second round review at *Accounting Horizons*.
2. Beasley, M. S., Viscelli, T., Hermanson, D. "Research Insights About Risk Governance: Implications from a Review of ERM Research." This working paper is currently under review at the *Journal of Accounting, Auditing, and Finance*.
3. Beasley, M.S. Branson, B. Braumann, E. and Pagach, D. "Sustainability and Enterprise Risk Management: Implementation Effects on Performance." We are finalizing this working paper for submission to an academic research journal in late summer 2016.
4. Beasley, M.S., Chen, A., and Decaux, L. "Enhancing Board Risk Oversight Through Combined Assurance." We are finalizing this working paper for submission to a practitioner journal in July 2016.

ABSTRACTS AND CONFERENCE PROCEEDINGS PUBLICATIONS

1. Beasley, M. S. *Implementation Challenges in Enterprise Risk Management*. *Proceedings from the 4th Bentley Global Business Ethics Symposium* (vol. 4th, pp. 19-20). Waltham, MA: Bentley University. Fall 2008.
2. Beasley, Mark S., Richard Clune, and Dana Hermanson. "The Role of the Internal Audit Function in Enterprise Risk Management." Abstract of paper published in *Proceedings of the 2005 Annual Meeting of the American Accounting Association*, San Francisco, CA, August 2005.

3. Beasley, Mark S. “Improving Auditor Detection of Financial Reporting Fraud.” Presentation materials published in **Thirty-Second Annual Virginia Accounting and Auditing Conference**, Roanoke and Virginia Beach, VA, September and November, 2002.
4. Beasley, Mark S. “Overview of Recent Activities of the Auditing Standards Board” and “Detecting Financial Statement Fraud: SAS No. 82 Revision.” Presentation materials published in **NC State’s Continuing Professional Education Materials**, Raleigh, NC, December 2001.
5. Beasley, Mark S. “Detecting Financial Statement Fraud: SAS No. 82 Revision.” Presentation materials published in **Thirty-First Annual Virginia Accounting and Auditing Conference Materials**, Roanoke, VA, October 2001.
6. Beasley, Mark S. “Assessing and Detecting Financial Statement Fraud.” Presentation materials published for the **FBI Academy**, Quantico, VA, June 2001.
7. Beasley, Mark and Beverly Amer. “Attracting, Retaining and Motivating Accounting Students.” Presentation materials published in **Prentice Hall’s PHASE Conference Materials**, Orlando, FL, March 2001.
8. Beasley, Mark S. “Assessing and Detecting Financial Statement Fraud.” Presentation materials published in **Thirtieth Annual Virginia Accounting and Auditing Conference Materials**, Roanoke and Norfolk, VA, October and November 2000.
9. Beasley, Mark S. “Red Flags of Fraud: An Analysis of Fraudulent Financial Reporting.” Presentation materials published in **Participant Materials for the Institute of Internal Auditor’s International Conference 2000 Materials**, New York, NY, June 2000.
10. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. “Fraudulent Financial Reporting, 1987-1997 – COSO Findings.” Executive Summary and related materials published in **Participant Materials for the AICPA’s 1999 National Conference on Current SEC Developments**, Washington, DC, December 1999.
11. Beasley, Mark S. and Steve Salterio. “Audit Committee Composition and Member Experience: An Agency Cost Analysis.” Abstract of paper published in **Proceedings of the 1999 Annual Meeting of the American Accounting Association**, San Diego, CA, August 1999.
12. Beasley, Mark S. and Steve Salterio. “Cross-sectional Variation in Canadian Audit Committee Composition and Member Experience.” Full-text of paper published in **Proceedings of the 1999 International Symposium on Audit Research**, University of Southern California, June 1999.
13. Beasley, Mark S., Joseph V. Carcello, and Dana R. Hermanson. “10 Years After Treadway, An Overview of Findings Contained in Fraudulent Financial Reporting, 1987-1997: An Analysis of US Public Companies.” Executive summary and related materials published in

Participant Materials for the AICPA's 1999 Annual Spring Industry Conference, Rio Mar, Puerto, Rico, May 1999.

14. Beasley, Mark S. and Kathy R. Petroni. "Board Governance and Audit Firm Type." Abstract of paper published in *Proceedings of the 1998 AAA Annual Meeting*, New Orleans, LA, August 1998.
15. Beasley, Mark S. and Kathy R. Petroni. "Board Governance and Audit Firm Type." Full-text of paper published in *Proceedings of the 1998 International Symposium on Audit Research*, University of New South Wales, Sydney, Australia, June 1998.
16. Beasley, Mark S. "Assessing the Risks of Information Technology." *Proceedings of the 28th Annual North Carolina Accounting Education Colloquium*, University of North Carolina at Wilmington, Wilmington, NC, February 1998.
17. Sawyers, Roby and Mark S. Beasley. "Impact of State Taxation on the Investment Portfolio of Banks." Full-text of paper published in the *Journal of American Taxation Association Conference Proceedings*, Atlanta, GA, February 1998.
18. Allen, Robert, Mark S. Beasley, and Bruce Branson. "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data." Abstract of paper published in on-line publication, *Auditing, Litigation and Tax Abstracts*, Volume 2, Number 1, January 16, 1998.
19. Allen, Robert, Mark S. Beasley, and Bruce Branson. "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data." Abstract of paper published in *Proceedings of the 1997 AAA Annual Meeting*, Dallas, TX, August 1997.
20. Allen, Robert, Mark S. Beasley, and Bruce Branson. "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data." Full-text of paper published in *Proceedings of the 1997 International Symposium on Audit Research*, Nanyang University, Singapore, June 1997.
21. Petroni, Kathy R. and Mark S. Beasley. "Errors in Accounting Estimates and Their Relation to Audit Firm Type." Synopsis of paper published in *The Auditor's Report*, Volume 20, Number 1, Fall 1996.
22. Beasley, Mark S. "An Empirical Analysis of the Relation Between The Board of Director Composition and Financial Statement Fraud." Abstract of paper published in on-line publication, *Journal of Accounting Abstracts*, Volume 1, Number 8, June 12, 1996.
23. Petroni, Kathy R. and Mark S. Beasley. "Errors in Accounting Estimates and Their Relation to Audit Firm Type." Abstract of paper published in on-line publication, *Journal of Accounting Abstracts*, Volume 20, Number 1, January 29, 1996.
24. Petroni, Kathy R. and Mark S. Beasley. "The Relation Between Audit Firm Type and Accounting Estimation Error." Synopsis published in *Eleventh Symposium on Auditing Research*, pp. 29-38, University of Illinois, September 1994.

25. Petroni, Kathy R. and Mark S. Beasley. "The Relation Between Audit Firm Type and Accounting Estimation Error." Full-text paper published in *Proceedings of the Eleventh Symposium on Auditing Research*, University of Illinois, September 1994.

BUSINESS PRESS ARTICLES FEATURING RESEARCH

The research monograph, *Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies*, has been featured in articles in the following publications:

The Wall Street Journal; Business Week; Bloomberg; Barron's; Fortune; Bureau of National Affairs; Bear Stearns; Investor Relations Business; Deloitte & Touche's The Review: News and Analysis of Key Business Issues; Corporate Financing Week; Global Proxy Watch; Accounting Today; Audit Wire: Insights, Issues, and Information from the IIA; Director's Alert; Director's Monthly; Accounting Education News; Associated Press; AP Radio; Internal Auditor; Financial Executive; Preventing Business Fraud; Accountancy International; Knoxville Sentinel; The CPA Journal; CCH SEC Accounting Rules; Ernst & Young LLP Financial Reporting Briefs; PricewaterhouseCoopers' Audit Committee Update 2000; Georgia Public Television (GPTV); AICPA Web Page; Financial Executives Institute's Web Page; The Institute of Internal Auditors' Web Page; and the American Accounting Association's Web Page.

The Associated Press article featuring the research appeared in these newspapers:

Newsday	Des Moines Register
San Diego Union-Tribune	Florida Times-Union
Dallas Morning News	Harrisburg Patriot
Austin American-Statesman	Orlando Sentinel
Atlanta Journal Constitution	New Orleans Times-Picayune
Pittsburgh Post-Gazette	Star-Tribune Newspapers
Sacramento Bee	(Minneapolis/St. Paul)
San Francisco Chronicle	

This monograph has been cited in several industry and regulatory publications such as the following:

Instrumental in SEC/NYSE/NASD extension of the Blue Ribbon Committee's Recommendations 1-3 to companies with less than \$200 million market capitalization, as noted by *The Wall Street Journal*.

Contributing to the SEC's focus on revenue recognition and cited in SAB No. 101, *Revenue Recognition in Financial Statements*.

Influencing focus on smaller audit firms and cited in *The Panel on Audit Effectiveness: Report and Recommendations*.

Influencing focus on companies of all sizes and cited in *Report of the NACD Blue Ribbon Commission on Audit Committees*.

RESEARCH-RELATED GRANTS

1. Recipient of Center for Audit Quality (CAQ) grant to study SEC enforcement actions against auditors in the U.S. from 1998-2010 (July 2011).
2. Recipient of IBM Center for The Business of Government Research Grant (April 2007).
3. Recipient of AICPA/AAA Management Accounting Section Research Grant (April 2007).
4. Recipient of a COSO research grant to examine SEC enforcement actions related to fraud: 1998-2007 (April 2007).
5. Recipient of an Institute of Internal Auditors Research Foundation Grant (January 2004).
6. Recipient of a KPMG Audit Committee Institute Research Grant (October 2003).
7. Recipient of COSO Grant to study fraudulent financial reporting 1987-1996. (February 1998).
8. Recipient of a CGA Canada Research Foundation Research Grant. (Summer 1997).
9. North Carolina State University 1995 Faculty Research and Professional Development (FRPD) Grant Recipient.
10. Institute of Internal Auditor's 1994 Dissertation Grant Recipient.
11. North Carolina State University 1994 Faculty Research and Development Provost Grant Recipient.
12. AICPA Doctoral Fellowship Recipient, 1990-1992.

EDITORIAL SERVICE

Journal Editorial Board Member

Accounting Horizons (present)

Auditing: A Journal of Practice and Theory (present)

Issues in Accounting Education

Journal of Forensic Accounting

Current Issues in Auditing Journal (present)

Journal Ad Hoc Reviewer

Academy of Management Review

Accounting Horizons

The Accounting Review

Advances in International Accounting

Auditing: A Journal of Practice and Theory

Canadian Accounting Perspectives
Contemporary Accounting Research
Issues in Accounting Education
Journal of Accounting and Public Policy
Journal of Accounting, Auditing and Finance
Journal of Accounting Literature
Journal of Business, Finance, and Accounting
Journal of Forensic Accounting
Journal of Forensic Accounting Review
Journal of Information Systems
Journal of International Financial Management & Accounting
Managerial Auditing Journal
Management Science
Review of Accounting Studies
Review of Accounting and Finance
The European Accounting Review

Conference and Other Ad Hoc Reviewer

AAA Annual Meeting (2006, 2005, 2004, 2003, 2002, 2001)
AAA Auditing Section Mid-Year Meeting (2005, 2004, 2003, 2002, 2001)
AAA Financial Accounting Section Mid-Year Conference (2004)
AAA Southeast Regional Meeting (2003, 2002, 2001)
AICPA's 2000/2001 Annual Audit Risk Alert
AICPA's Annual Accounting and Auditing Video (2001, 2002)
Canadian American Accounting Association Annual Meeting (2002)
Fraud Detection in a GAAS Audit
KPMG/University of Illinois Research Program Grant Proposal
Hong Kong Polytechnic University Research Grant Proposal (July 2000)

DISSERTATION COMMITTEE SERVICE

I have served on the following dissertation committees for candidates in the DBA program in the Coles College of Business, Kennesaw State University:

1. Viscelli, Therese. Dissertation Titled, "The ERM Process: Evidence from Interviews of ERM Champions." Dissertation Completed: August 2013.
2. Timothy L. Baker. Dissertation Titled, "Enterprise Risk Management: The Transformation of Board-Level Engagement as Evidenced by Disclosure. Proposal Defended: October 2015.

CITATION INDICES

Google Scholar (as of June 2016)
Citation 10,438
h-index 30
i-10 index 47

PRESENTATIONS

Note: I have made over 260 presentations since joining NC State in 1994. The full listing of presentations is provided at the end of this Vita as Appendix A. Here is a listing of presentations made in the last two academic years:

1. 2016, May. "Risk Culture", EEI ERM Working Group, Edison Electric Institute, Charlotte NC.
2. 2016, April. "Opportunities and Challenges for Internal Audit in Risk Oversight", 14th European Academic Conference on Internal Audit and Corporate Governance, Erasmus University, Rotterdam, The Netherlands.
3. 2016, April. "Five Tips I Wish I Focused on 20 Years Ago", Doctoral Consortium at the 14th European Academic Conference on Internal Audit and Corporate Governance, Erasmus University, Rotterdam, The Netherlands.
4. 2016, March. "Executive Perspectives on Top Risks in 2016", Webinar - National Audience, NC State ERM Initiative and Protiviti, over 990 people attended full webinar.
5. 2016, February. "ERM: We are All Chief Risk Officers", College of Textiles Graduate Symposium, NC State University, Raleigh, NC.
6. 2015, June. "Current State of ERM: Opportunities to Strengthen Strategic Value", Perspectives on Enterprise Risk Management, Polytechnic University of Milan, Milan, Italy, International.
7. 2015, June. "Current State of ERM: Opportunities to Strengthen Strategic Value", Internal Audit Training, First Citizens Bank, Raleigh, NC.
8. 2015, June. "Findings from Research on Current State of ERM", Webinar - National Audience, AICPA Business and Industry Group.
9. 2015, May. "Applying ERM at the Community Banking Level", NC Advanced Bank Directors Forum, NC Commissioner of Banks and NC State's ERM Initiative, Greensboro, NC, State, Applied or Integration/Application Scholarship.
10. 2015, May. "Current State of ERM: Opportunities to Strengthen Strategic Value", EEI ERM Working Group, Edison Electric Institute, Nashville, TN.
11. 2015, April. "Current State of ERM: Opportunities to Strengthen Strategic Value", SCRC Spring Annual Meeting, PCOM's Supply Chain Resource Cooperative, Raleigh, NC.
12. 2015, April. "SEC's Role in Accounting Standards Setting", SEC Historical Society's Webinar: 30th Anniversary of COSO, SEC Historical Society, Washington, DC.

13. 2015, April. "Welcoming Remarks on Role of Board Diversity", Board Diversity Forum, NC Department of Treasury, Raleigh, NC, State.
14. 2015, February. "Integrating Sustainability and ERM", NC Business Sustainability Network Meeting, NC Business Sustainability Network, Durham, NC, State.
15. 2015, February. "Executive Perspectives on Top Risks in 2015", Webinar - National Audience, NC State ERM Initiative and Protiviti, Webinar - over 700 people attended full webinar, National.
16. 2015, January. "Financial Enterprise Risk Management", Treasury Symposium 2015, Treasury Institute of Higher Education, Charleston, SC.
17. 2015, January. "Overview of COSO", NC State Banking Commission's Bank Examiner Training, NC State Commissioner of Banking, Raleigh, NC, State.
18. 2014, November. "Enterprise Risk Management: Insights from the Private Sector", National Risk Assessment and Management Meeting, U.S. Department of Homeland Security, Washington, DC.
19. 2014, October. "ERM: It's All About the Strategy!", Institute of Internal Auditor's All-Star Conference, Institute of Internal Auditors, Las Vegas, Nevada.
20. 2014, October. "ERM Update: Risk Appetite", Year-End Accounting and Tax Update, BDO, Raleigh, NC, State.
21. 2014, October. "Strengthening Board's Focus on Emerging Risks", NACD Directors Forum, National Association of Corporate Directors - Charlotte, Charlotte, NC.
22. 2014, September. "Integrating Risk Oversight and Strategy to Enhance Value", SAS Customer Connections for Enterprise Governance, Risk, and Compliance, SAS, Cary, NC.
23. 2014, August. "Overview of ERM and its Integration with Strategy", North Carolina Bankers Association - School of Banking, North Carolina Bankers Association, Chapel Hill, NC.
24. 2014, June. "An Analysis of the Maturity and Strategic Impact of Investments in ERM", 2014 JAPP Conference, JAPP and the University of Maryland, College Park, MD.

TEACHING INTERESTS AND EXPERIENCE

Interests

Auditing (primary)
Enterprise Risk Management (primary)
Internal Controls (primary)
Financial Accounting (secondary)

Courses

Auditing (ACC 450)
Overview of Enterprise Risk Management (MBA 590)
ERM Practicum (MBA 590)
Principles of Accounting (ACC 200)
IT Risks and Controls (ACC 540)

Note: For the ERM Practicum course, I coach teams of three-to-four students who lead the executive management team of an organization through an enterprise risk management process for a real-world organizations. Student teams interview executive management, work with management to prioritize the organizations most significant risks, and prepare and present a strategic risk management report highlighting the organizations most significant risks on the horizon. Since 2011, I have coached teams working with the following types of organizations:

Community banks (10 different banks)
Agricultural solutions provider
Equity syndicator for affordable housing
Pension and retirement investment advisory firm (national firm)
State Agency for the State of North Carolina
YMCA of the Triangle
Zinc fabricator

Student Evaluations

Typical range of 4.5 to 5.0 for “*Instructor is an effective teacher*” and “*Course improved my knowledge*” (Scale of 1 (weakest) to 5 (strongest))

AWARDS

Teaching

Poole College of Management 2014 Teaching Excellence Award (May 2014).

Alumni Distinguished Undergraduate Professor Award for the College of Management (January 2007).

College of Management Nominee for NC State's Alumni Distinguished Undergraduate Professor Award (January 2006).

Board of Governors' Award for Teaching Excellence, North Carolina State University College of Management. (April 2002).

Outstanding Teacher Award, North Carolina State University College of Management. Included inducted into NC State's Academy of Outstanding Teachers. (1997).

Excellence-In-Teaching Citation, Michigan State University. (1994).

Accounting Doctoral Teaching Award, Michigan State University.

Research

Department of Accounting's Research, Growth, and Innovation Award for Dept of Accounting, Poole College of Management, January 2012.

Distinguished Contributions to Accounting Literature Award, presented by the American Accounting Association, August 2010, for contributions of Beasley, Mark S., "An Empirical Analysis of the Relation Between the Board of Director Composition and Fraudulent Financial Reporting," that appeared in *The Accounting Review*, October 1996.

ERM Research Excellence Award, The Actuarial Foundation. (2007).

Ernst & Young Research Fellow Recipient, North Carolina State University. (2005).

Notable Contributions to the Auditing Literature Award Recipient, American Accounting Association. (2002)

Competitive Manuscript Award Recipient, American Accounting Association. (1995).

Service

Recognized by the National Association of Corporate Directors (NACD) as one of their 2012 list of "100 People to Watch".

Outstanding Extension and Engagement Award, North Carolina State University.
Included induction into Academy of Outstanding Faculty Engaged in Extension.
(April 2003).

Other

Outstanding Faculty Award, Ernst & Young. (April 2001).

Doctoral Consortium Fellow, American Accounting Association. (1993).

CONTINUING EDUCATION TEACHING EXPERIENCE

1. NC State ERM Initiative. Identified, developed, and led in conjunction with Bruce Branson and Bonnie Hancock ERM executive education workshops (both open enrollment and custom education) targeted to boards of directors and senior executives. Since 2008, each Spring we host our ERM Fundamentals Workshop for executives seeking initial understanding of the goals and objectives of ERM (over 630 individuals have completed this course). Since 2014, each Fall, we host our Advanced ERM Workshop for executives whose organizations have implemented ERM for a few years and whose leaderships is seeking benchmarking/self assessment of their current ERM maturity (about 110 individuals have completed this course). Since 2006, we have hosted 20 workshops in conjunction with the NC Commissioner of Banks (with about 1,300 cumulative participants). Other custom programs and workshops have been developed and delivered by the ERM Initiative.
2. Deloitte, LLP. Helped develop and deliver curriculum for Deloitte's Risk Academy. Since the first offering was launched in April 2012, about 1,000 Deloitte partners and senior managers have been participants in this training.
3. PricewaterhouseCoopers, LLP. Instructor for several firm-wide training courses. Also, assist firm's national learning and education division with design and writing of firm training materials. (December 2000 – 2008).
4. KPMG, LLP. Course design for partner training on auditor responsibility for detecting material fraud. (March – April 2002).
5. AICPA. Accounting and Auditing Update Workshop (CPE). Serve as one of two co-author responsibility for content development annually (1996-present).
6. AICPA. Video Panel Presentation, "Fraud and the Financial Statement Audit: Auditor Responsibilities Under New SAS." (January 2003).
7. American Institute of CPAs. Speaker at numerous continuing education conferences sponsored by the AICPA, state CPA societies, and firm organizations. Topics included strategic briefings on the "Expectation Gap" Statements on Auditing Standards (SASs), SAS No. 63, Compliance Auditing, SAS No. 65, The Auditor's Consideration of the Internal Audit Function in an Audit of Financial Statements, overview of the "Plain-Paper" interpretations of SSARS No. 1, Compilation and Review of Financial Statements, and general updates of activities of the Auditing Standards Board and the Accounting and Review Services Committee. (1987-1990).

COSO Committee of Sponsoring Organizations of the Treadway Commission:

- Advisory Council to develop revision of 2004 *Enterprise Risk Management-Integrated Framework*. (January 2015-present).
- Board Member. (July 2004-September 2011).
- Advisory Council to develop first Enterprise Risk Management- Integrated Framework. (January 2001-September 2004).

Center for Audit Quality:

- Accounting Academic Sounding Board (June 2016 – present).

International Corporate Governance Network and Yale Millstein Center on Corporate Governance:

- Member on Task Force developing guidance for Board Risk Oversight from an Institutional Investor Perspective. (October 2009 – December 2012).

The Conference Board:

- Enterprise Risk Management Board Research Project, Advisory Board Member. (September 2005-2007).
- ERM Working Group. (September 2005-September 2006).

American Institute of Certified Public Accountants (AICPA)

- Auditing Standards Task Force overseeing academic research on the auditor's report (March 2006 – December 2010).
- Antifraud Programs and Controls Task Force. (July 2003-January 2005).
- Auditing Standards Board's Fraud Standard Task Force responsible for developing SAS No. 99, *Consideration of Fraud in a Financial Statement Audit*. (2000-2002).
- Auditing Standards Board's Audit Committee Task Force responsible for developing revisions to existing SASs in response to the issuance of the Sarbanes-Oxley Act of 2002. (October 2002-December 2005).
- Auditing Standards Board's Fraud Standard Research Steering Task Force to coordinate research on auditor responsibility for fraud. (July 1998-July 2001).
- Education Subcommittee Task Force on Integrating Fraud Education in University Curricula. (January 2003-2005).

American Accounting Association

- AAA Auditing Section – Executive Committee
 - Treasurer of the Auditing Section (August 1999-2001)
 - Vice President-Academic (2004-2005)
 - President of the Auditing Section. (2005-2006)
 - Past-President (2006-2007)
 - Historian, (March 2012 – August 2014)
 - Nominations Committee (September 2015 – present)
- AAA Strategy Management Committee (formerly Environmental Scanning Task Force, strategic planning support for AAA President and Executive Director (2007-2010)
- AAA Audit Section’s Strategic Planning (June 2010-December 2010)
- AAA Nominating Committee (2008-2009)
- AAA Council Member (August 2005 – 2007)
- AAA Research Committee. (2002-2004)
- PCAOB/AAA Conference Planning Committee (August 2005- April 2008)
- Audit Section’s Planning Committee for “Excellence in Audit Education Workshop” hosted in January 2008. Served on Planning Committee for 2009 Workshop
- Chair of the Auditing Section’s Selection Committee for the 2003 Notable Contributions in Auditing Literature Award. (2002)
- Committee of the Auditing Section responsible for recommending the next editor of *Auditing: A Journal of Practice and Theory*. (1998-1999)

Other

- Institute of Fraud Prevention Research Consortium at the University of Texas at Austin’s LBJ School of Public Affairs (March 2006 – 2007)
- Enterprise Risk Management Institute International (ERMII) (founding member and member of the Advisory Board – September 2005-2008)

PROFESSIONAL QUALIFICATIONS AND MEMBERSHIPS

Certified Public Accountant (North Carolina).

American Accounting Association (AAA) and Auditing Section of AAA.

American Institute of Certified Public Accountants.

Beta Alpha Psi (Iota Omega Chapter)

Beta Gamma Sigma

UNIVERSITY ACTIVITIES

University Level: North Carolina State University

Task Force to Revise the IT Governance Process at NC State University (February 2016 – present).

Review Panel to assess the Center for Innovation Management Studies (CIMS) at request of Vice Chancellor for Research (November-December 2013).

Advising NC State Chancellor's Office on university's launch of enterprise risk management (ERM). (April 2011-present).

Delegate to the University Global Partnership Network (consists of NC State, University of Sao Paulo, and University of Surrey) meeting in Sao Paulo, Brazil (March 2012)

Interview Team for Search for NC State's Hugh Shelton Leadership Center Executive Director. (June – July 2012).

Interview Team for Search for NC State Internal Audit Director for Finance and Business. (1999).

Connecting in North Carolina Tour Advisory Committee. (1996-1998).

NCSU Freshman Merit Awards Program. (December 1994, December 1995, December 1996, January 1998).

College Level: College of Management

Enterprise Risk Management Initiative, Director. (2003-present).

Task Force to Develop Data Analytics Program for Poole College of Management (September 2014 – present).

Task Force to Select Owens Chair Professorship in Supply Chain Management (February – March 2016).

Representative on University Scholars Selection Committee. (2012-2015)

Task Force to Select Shelton Professor (March – May 2014).

Task Force Assess Feasibility of Sustainability Strategic Focus (May 2011-April 2012)

Task Force to Select Faculty Scholars (2012)

Term Professorship Task Force (September 2011- present)

COM Outstanding Extension Service Award Selection Committee. (2009-2010).

MBA Curriculum Committee. (2003-2005).

MBA Orientation Speaker Team. (2003, 2004, 2005, 2014, 2015).

Search Committee for Electronic Commerce Faculty Position. (1999-2002).

Graduate Symposium Planning Committee. (1999, 2000, 2001, 2002, 2003, 2005).

“Shin-Dig” Planning Committee. (1998-1999).

COM Faculty Speaker’s Bureau, Speaker on 6 occasions. (2002-present).

COM Summer Camp. (2002, 2003).

Faculty Workshop Series on E-Commerce. (1999).

Telephone Campaign to Potential College of Management Freshmen. (March 1995 - March 1996).

Make a Date With State Program. (March/April 1995-present).

Department Level: Department of Accounting

Research Strategy Task Force (2011-2012)

Recruiting Committee. (1999-2002; 2004-2006).

Strategic Planning Committee. (2002-2004; 2014-present).

Master in Accounting (MAC) Admissions Committee. (2000-2005).

Master in Accounting (MAC) Curriculum Committee. (1996, 2002-2004).

Master in Accounting (MAC) CPA Review Instruction. (2005, 2006)

Scholarship Committee. (1994-present).

Faculty Retreat Planning Committee. (2000).

Departmental Teaching Award Submission Committee. (2000).

Accounting Capstone Course Development Committee for ACC 490 course. (1995-1996).

NC State's Masters of Accountancy program to Meredith College's Accounting Society, Presenter (Fall 1995, Winter 1997).

INVITED CONFERENCE PARTICIPATION

1. The PCAOB/AAA Conference, Washington, DC (December 2004; February 2006, April 2007, April 2008, April 2009, April 2010, April 2011, April 2012, April 2013, April 2014, April 2015, April 2016) – speaker at 2006, 2007, and 2008 conferences.
2. Price Waterhouse Accounting and Auditing Symposium, Chicago (August 2015), (August 2014), Washington, DC (August 2012); New York (August 2009); Chicago, IL. (August 1996).
3. Center on Audit Quality Audit Practice Meets Audit Research National Symposium – (August 2015), Chicago, IL; (August 2014), Atlanta, GA; (August 2013), Los Angeles, CA; (August 2010), San Francisco, CA; (August, 2009), New York, NY.
4. "Invited Experts Meeting on National Risk Assessment and Management", U.S. Department of Homeland Security, Washington, DC. (November 18, 2014 - November 19, 2014). I was one of about 25 invited participants to attend this invitation only national risk assessment conference hosted by Dept of Homeland Security. Other invitees included national risk leaders from Singapore, UK, New Zealand, and others.
5. KPMG's Spring 2010 and 2011 Central Carolina Audit Committee Roundtable, May 2010 and May 2011, Cary, NC.
6. KPMG/NACD's National Audit Committee Issues Conference, February 10, 2010, Miami, FL.
7. ICGN/Yale Millstein Center on Corporate Governance "Corporate Risk Roundtable", January 29, 2010, London, England.
8. Directors Assembly, Deloitte, LLP, June 4, 2013, Raleigh, NC; November 18, 2009, Washington, DC.

9. Center on Audit Quality Fraud Dinner Participant – June 4, 2009, Washington - Invited dinner participant at the fourth of four dinners held by CAQ around the U.S. to discuss auditor detection of fraudulent financial reporting (about 20 participants at each dinner).
10. Integrated Risk Management Conference, University of Michigan, Ann Arbor, MI (June 2006).
11. Enterprise Risk Management Symposium, Ohio State University, Columbus, OH (August 2006; August 2009).
12. The Conference Board's ERM Working Group, New York, NY. (January 2006 and November 2005).
13. Institute of Chartered Accountants of Scotland and Wales Corporate Governance Summit held in the U.S. Senate Banking Committee Hearing Room, Washington, DC. (December 2005).
14. Risk & Insurance Management Society (RIMS) ERM Summit, New York, NY. (November 2005).
15. University of Texas at Austin Corporate Governance Conference, Austin, TX. (February 2003).
16. University of Florida Corporate Governance Research Conference, Gainesville, FL. (January 2002).
17. Duke University/University of North Carolina Fall Research Camp, Durham, NC. (September 2001).
18. Arthur Andersen Faculty Symposium, St. Charles, IL. (October 1999).
19. Price Waterhouse Accounting and Auditing Symposium, Chicago, IL. (August 1996).
20. IBM Executive Training Conference, "Client/Server: A Business and Technology Perspective," Palisades, NY. (October 1995).
21. Arthur Andersen/American Accounting Association's New Faculty Consortium, St. Charles, IL. (February 1995).

ERM RELATED CONSULTING ACTIVITIES - COMPENSATED

Board of Director Training – Role of Directors in Risk Oversight

- Conference of directors who serve on agriculture banks in farm credit system (3 different events)
- Directors of regional bank – NASDAQ – with \$6.5B in assets
- Directors of oil and gas (NASDAQ) with \$350M in revenues
- Venture capital firm – directors who serve on investment companies
- Directors of community bank with \$1.8B in assets
- Directors community bank with \$1.7B in assets
- Directors of regional health & life insurance firm

Management ERM Training

- Over 1000 Partners in two of the Big Four accounting firms
- Senior Leadership Team (Vice Chancellors and Vice Presidents) of a Midwestern state's flagship university
- NYSE insurance firm with \$10B in revenues
- Executive management team at U.S. agricultural lending institution
- Leadership development trainees (multiple classes, multiple years) of NYSE bank with over \$150B in assets
- Global educational testing institution
- Credit Union with \$14.9B in assets
- Regional health insurance company
- Risk advisory consulting firm (financial institutions)
- Association of credit unions – executives of member credit unions
- Privately held industrial manufacturing firm with \$400M in sales
- Regional not-for-profit healthcare organization with over 100 facilities (mostly hospitals)
- Regional bank with \$712M in assets
- Privately held commercial construction firm with \$140M in revenues
- US Management team of a French-based construction company

ERM Process Assessments

- Regional airline (NYSE) with \$5B in revenues
- Regional bank with \$712M in assets

APPENDIX A – FULL LIST OF PRESENTATIONS MADE SINCE 1994

Note: I have given over 260 presentations since joining NC State in 1994. This Appendix includes the full listing of presentations made since 1994:

1. 2016, May. "Risk Culture", EEI ERM Working Group, Edison Electric Institute, Charlotte NC.
2. 2016, April. "Opportunities and Challenges for Internal Audit in Risk Oversight", 14th European Academic Conference on Internal Audit and Corporate Governance, Erasmus University, Rotterdam, The Netherlands.
3. 2016, April. "Five Tips I Wish I Focused on 20 Years Ago", Doctoral Consortium at the 14th European Academic Conference on Internal Audit and Corporate Governance, Erasmus University, Rotterdam, The Netherlands.
4. 2016, March. "Executive Perspectives on Top Risks in 2016", Webinar - National Audience, NC State ERM Initiative and Protiviti, over 990 people attended full webinar.
5. 2016, February. "ERM: We are All Chief Risk Officers", College of Textiles Graduate Symposium, NC State University, Raleigh, NC.
6. 2015, June. "Current State of ERM: Opportunities to Strengthen Strategic Value", Perspectives on Enterprise Risk Management, Polytechnic University of Milan, Milan, Italy, International.
7. 2015, June. "Current State of ERM: Opportunities to Strengthen Strategic Value", Internal Audit Training, First Citizens Bank, Raleigh, NC.
8. 2015, June. "Findings from Research on Current State of ERM", Webinar - National Audience, AICPA Business and Industry Group.
9. 2015, May. "Applying ERM at the Community Banking Level", NC Advanced Bank Directors Forum, NC Commissioner of Banks and NC State's ERM Initiative, Greensboro, NC, State, Applied or Integration/Application Scholarship.
10. 2015, May. "Current State of ERM: Opportunities to Strengthen Strategic Value", EEI ERM Working Group, Edison Electric Institute, Nashville, TN.
11. 2015, April. "Current State of ERM: Opportunities to Strengthen Strategic Value", SCRC Spring Annual Meeting, PCOM's Supply Chain Resource Cooperative, Raleigh, NC.
12. 2015, April. "SEC's Role in Accounting Standards Setting", SEC Historical Society's Webinar: 30th Anniversary of COSO, SEC Historical Society, Washington, DC.

13. 2015, April. "Welcoming Remarks on Role of Board Diversity", Board Diversity Forum, NC Department of Treasury, Raleigh, NC, State.
14. 2015, February. "Integrating Sustainability and ERM", NC Business Sustainability Network Meeting, NC Business Sustainability Network, Durham, NC, State.
15. 2015, February. "Executive Perspectives on Top Risks in 2015", Webinar - National Audience, NC State ERM Initiative and Protiviti, Webinar - over 700 people attended full webinar, National.
16. 2015, January. "Financial Enterprise Risk Meeting", Treasury Symposium 2015, Treasury Institute of Higher Education, Charleston, SC.
17. 2015, January. "Overview of COSO", NC State Banking Commission's Bank Examiner Training, NC State Commissioner of Banking, Raleigh, NC, State.
18. 2014, November. "Enterprise Risk Management: Insights from the Private Sector", National Risk Assessment and Management Meeting, U.S. Department of Homeland Security, Washington, DC.
19. 2014, October. "ERM: It's All About the Strategy!", Institute of Internal Auditor's All-Star Conference, Institute of Internal Auditors, Las Vegas, Nevada.
20. 2014, October. "ERM Update: Risk Appetite", Year-End Accounting and Tax Update, BDO, Raleigh, NC, State.
21. 2014, October. "Strengthening Board's Focus on Emerging Risks", NACD Directors Forum, National Association of Corporate Directors - Charlotte, Charlotte, NC.
22. 2014, September. "Integrating Risk Oversight and Strategy to Enhance Value", SAS Customer Connections for Enterprise Governance, Risk, and Compliance, SAS, Cary, NC.
23. 2014, August. "Overview of ERM and its Integration with Strategy", North Carolina Bankers Association - School of Banking, North Carolina Bankers Association, Chapel Hill, NC.
24. 2014, June. "An Analysis of the Maturity and Strategic Impact of Investments in ERM", 2014 JAPP Conference, JAPP and the University of Maryland, College Park, MD.
25. 2014, May. "Intersections of ERM and Business Continuity", Business Continuity Planners RTP Chapter Meeting, Business Continuity Planners Association, Raleigh, NC.
26. 2014, March. "ERM: It's All About the Strategy!", Institute of Internal Auditors General Audit Executives Conference 2014, Institute of Internal Auditors, Orlando, FL.
27. 2014, February. Beasley, "Executive Perspectives on Top Risks in 2014", Webinar - National Audience, NC State ERM Initiative and Protiviti, Webinar.

28. 2014, February. "ERM: What's the Role of the Board?", Audit & Compliance Committee Conference, Health Care Compliance Association, Scottsdale, AZ.
29. 2013, October. "The Integration of ERM and Strategy", Virginia Bankers Association ERM Conference, VA Bankers Association, Richmond, VA.
30. 2013, October. "ERM: What is it and Why Relevant to Higher Education?", UNC Controller's Workshop 2013, UNC General Administration (System-wide), Chapel Hill, NC.
31. 2013, October. "Role of ERM in Strategy", Deloitte's CFO Forum, Deloitte, LLP, Raleigh, NC.
32. 2013, October. "Webinar - Taking a Fresh Look at Managing Risks Within Your Organization", Office of State Controller's Financial Conference, State Controller's Office - State of NC, Raleigh, NC.
33. 2013, October. "An Analysis of Factors Associated with ERM Maturity That Help Explain Board Engagement in Risk Oversight and Perceptions of ERM as a Strategic Tool", Visiting Scholar, University of Louvain (Louvain Belgium), Louvain, Belgium,
34. 2013, September. "Educating the Next Generation of Executives on Enterprise Risk Management", NCACPAs Educator's Forum, North Carolina Association of CPAs, Raleigh, NC.
35. 2013, September. "Evaluating ERM Maturity", IIA Chapter Meeting - RTP Chapter, Institute of Internal Auditors, Raleigh, NC.
36. 2013, May. "Overview of ERM Practicum", Duke University Federal Credit Union's Board of Directors Meeting, Duke University Federal Credit Union, Durham, NC.
37. 2013, May. "Enterprise Risk Management: What is it and How Does it Relate to Strategy", NCACPAs Business & Industry, North Carolina Association of CPAs, Greensboro, NC.
38. 2013, May. "Enterprise Risk Management: What is it and What is the Role of Finance Professionals", NCACUBO Higher Education Conference, North Carolina Association of CPAs, Savannah, GA.
39. 2013, May. "Overview of ERM & Board's Role in Risk Oversight", NC Advanced Bank Directors College, NC Commissioner of Banks and the ERM Initiative, Greensboro.
40. 2013, May. "Enterprise Risk Management: Intersection of Theory and Practice", MIS Super Strategies Conference, MIS Institute, Orlando, FL.
41. 2013, April. "Overview of Key Risk Issues for 2013: Research Conducted by NC State and Protiviti", Webinar - National Audience, Zurich Insurance.

42. 2013, March. "Overview of ERM; Board's Role in Risk Oversight", 2013 Director Development Program, Farm Credit Association of Texas, Charleston, SC.
43. 2013, February. "How Organizations are Rethinking Risk Oversight for Strategic Advantage", Raleigh-Durham Chapter Meeting of the Institute of Management Accountants, Institute of Management Accountants, Raleigh, NC.
44. 2013, January. "Overview of ERM; Board's Role in Risk Oversight", 2013 Director Development Program, Farm Credit Association of Texas, San Antonio, Texas.
45. 2013, January. "Strategic Risk Analysis", Deloitte's Risk Academy, Deloitte, LLP, Dallas, Texas.
46. 2012, November. "Overview of ERM", Annual Accounting Update, BDO, Raleigh, NC.
47. 2012, October. "Positioning Enterprise Risk Oversight for Strategic vs. Compliance Benefit", NC Bankers Association's 2012 Management Team Conference, North Carolina Bankers Association, Asheville, NC.
48. 2012, September. "Strategic Risk Analysis", Deloitte's Risk Academy, Deloitte, LLP, Hyderabad, India.
49. 2012, September. "Strategic Risk Analysis", Deloitte's Risk Academy, Deloitte, LLP, Toronto, Canada.
50. 2012, September. "Helping Organizations Strengthen Linkage of Risk Oversight and Strategy Execution", First Carolina Corporate Credit Union 2012 Financial Conference, First Carolina Corporate Credit Union, Charlotte, NC.
51. 2012, July. "Interview of Senator Paul Sarbanes and U.S. Representative Oxley", Ten Year Anniversary of the Sarbanes-Oxley Act of 2012, SEC Historical Society and Center for Audit Quality, Washington, DC.
52. 2012, July. "Strategic Risk Analysis", Deloitte's Risk Academy, Deloitte, LLP, Dallas, Texas.
53. 2012, April. "ERM: How to Meet the Challenges of an Uncertain World", Webinar - National Audience, CCH, Chicago, IL.
54. 2012, March. "Positioning ERM to Provide Strategic Value", Raleigh-Durham Chapter Meeting of the Institute of Internal Auditors, Institute of Internal Auditors, Raleigh, NC.
55. 2012, February. "Helping Organizations Strengthen Linkage of Risk Oversight and Strategy Execution", Tar Heel Chapter Meeting of the NC Credit Union League, North Carolina Credit Union League, Research Triangle Park, NC.

56. 2012, February. "Changing University Views of Risk Management: Linking ERM and Strategy", Chief Audit Executives Meeting, Association of College and University Auditors (ACUA), Plano, Texas (via webcast).
57. 2012, February. Moderator of Webinar on "Sustainability", Poole College Faculty Workshop - Sustainability, Poole College of Management, Raleigh, NC.
58. 2012, January. "Helping Organizations Strengthen Linkage of Risk Oversight and Strategy Execution", Poole College Board of Advisors Meeting, NC State University, Raleigh, NC.
59. 2012, January. "Bringing Enterprise Risk Management into the Classroom", Audit Educators Workshop, AAA Auditing Section, Savannah, Georgia.
60. 2011, December. "Addressing the Growing Expectations for Risk Oversight by Executives and Their Boards", Webinar - National Audience, Compliance 360, Atlanta, GA.
61. 2011, December. "Role of Risk Management and Agency Governance", Office of State Controller's Financial Conference, State Controller's Office - State of NC, Raleigh, NC.
62. 2011, December. "Helping Organizations Strengthen Linkage of Risk Oversight and Strategy Execution", Chancellor's Alumni Reception - Dallas, Texas, NC State University, Dallas, Texas.
63. 2011, December. "Strengthening Connection Between Risk Oversight and Strategy Execution", PRMIA Atlanta Risk Leadership Conference, Professional Risk Managers' International Association (PRMIA), Atlanta, Georgia.
64. 2011, October. Moderator of Panel, "SEC's Role in Accounting Standards Setting", SEC Historical Society's Webinar: Role of SEC in Accounting Standards. Setting, SEC Historical Society, Washington, DC.
65. 2011, December. "Changing our View of Risk Management: Emergence of ERM", NC ERM in Higher Education Symposium, East Carolina University, Greenville, NC, State.
66. 2011, September. "Changing Our View of Risk: Strengthening Integration of Strategy and Risk", Risk and Insurance Management Society (RIMS) Piedmont Chapter Conference 2011, RIMS Piedmont Chapter, Raleigh, NC.
67. 2011, August. "Going Back to School? Alternate Career Opportunities in Education for CPAs", AICPA Webinar, AICPA, Raleigh, NC.
68. 2011, June. "COSO's 2010 Report on Fraudulent Financial Reporting", Institute of Fraud Prevention Spring 2011 Conference, Institute of Fraud Prevention at West Va. Univ., Cary, NC.

69. 2011, June. "Current Trends in ERM and the Role of Key Risk Indicators", Compliance 360 Webinar, Compliance 360 Inc., Raleigh, NC.
70. 2011, May. "Enterprise Risk Management", YMCA's 2011 Financial Manager's Conference, YMCA of North America, San Antonio, Texas.
71. 2011, May. "Report on the Current State of ERM", KPMG's 2011 Audit Committee Roundtable, KPMG's Audit Committee Institute, Cary, NC.
72. 2011, May. "2 Presentations: 2010 COSO ERM Survey; Developing Key Risk Indicators", DePaul University's COSO Forum on ERM, DePaul University's School of Business, Chicago, IL.
73. 2011, May. "ERM and Strategy; COSO I/C Refresh Project", 2011 Design Finance Officers Annual Meeting, Design Finance Officers Group, Alexandria, Virginia.
74. 2011, January. "ERM and Board Risk Oversight - A Tale of Two Surveys from COSO", COSO Webinar on ERM Surveys, Protiviti, Raleigh, NC.
75. 2011, January. "Strengthening Enterprise Risk Oversight: To Sustain the Enterprise for Decades to Come".
76. 2010, December. "Report on the Current State of Enterprise Risk Management", 6th Annual Enterprise Risk Management Forum, Deloitte, New York, NY.
77. 2010, December. "Overview of NC State's ERM Initiative", Chancellor's Alumni Reception - New York, NY, NC State University, New York, NY.
78. 2010, November. "Overview of ERM", Progress Energy's Annual Update for CPAs, Progress Energy, Raleigh, NC.
79. 2010, November. Panelist on Panel "Deterring and Detecting Financial Reporting Fraud", Webinar Hosted by Center on Audit Quality (CAQ), Center for Audit Quality (CAQ), Washington, DC.
80. 2010, November. "Overview of ERM", Business Continuity Planners RTP Chapter Meeting, Business Continuity Planners Association, Raleigh, NC.
81. 2010, November. "Overview of ERM", Progress Energy's Annual Update for CPAs, Progress Energy, Inc., Raleigh, NC.
82. 2010, August. Panelist on Panel "Addressing Challenges with the Control Environment and Risk Assessment", AAA Annual Meeting - 2010, American Accounting Association, San Francisco, CA.

83. 2010, August. Moderator of Panel "Informing Audit Research About Audit Practice Challenges in Fraud Risk", Center for Audit Quality's Audit Practice Meets Audit Research - Symposium, Center for Audit Quality, San Francisco, CA.
84. 2010, July. "Strengthening Risk Oversight for Strategic Advantage", AICPA National Audit Committee Conference, AICPA, Washington, DC.
85. 2010, June. "ERM in the Post-Credit Crisis Environment: Lessons Learned for Long-Term Sustainability", Institute of Internal Auditors Global Conference 2010, Institute of Internal Auditors, Atlanta, Georgia.
86. 2010, May. Presenter on Video-Based "Webinar Overview of COSO's Fraudulent Financial Reporting: 1998-2007, An Analysis of U.S. Public Companies", Webinar: COSO's Fraud Study 2010, COSO, New York NY.
87. 2010, May. National Association of Corporate Directors – CEO and COO, Washington, DC. Provided overview of COSO research monograph "Fraudulent Financial Reporting: 1998-2007."
88. 2010, May. Center on Audit Quality, Washington, DC. Provided overview of COSO research monograph "Fraudulent Financial Reporting: 1998-2007."
89. 2010, May. 2010 Chief Financial Officers Conference for YMCA North America, Miami, FL. Spoke on "Strengthening Risk Oversight for Strategic Advantage."
90. 2010, April. Compliance 360 Inc. Featured speaker on webinar (over 400 attendees) hosted by Compliance 360. Webinar title, "Strengthening Risk Oversight for Strategic Value."
91. 2010, April. Board and Staff of Public Company Accounting Oversight Board (PCAOB), Washington, DC. Provided overview of COSO research monograph "Fraudulent Financial Reporting: 1998-2007."
92. 2010, April. Securities and Exchange Commission (SEC) Chief Accountant and Deputy Chief Accountant, Washington, DC. Provided overview of COSO research monograph "Fraudulent Financial Reporting: 1998-2007."
93. 2010, March. AICPA's Webinar Series, New York, NY. Panelist on live studio webinar, "Risk Oversight in 2010: ABC's for CPAs."
94. 2010, March. 2009 Prentice Hall Symposium for Accounting Educators, Tampa, FL, "Growing Trends for Risk Oversight: Opportunities for Our Students."
95. 2009, December. 2009 Audit Committee Conference for Directors of Farm Credit Banks, Tucson, AZ, "Strengthening Enterprise Risk Oversight for Strategic Value."

96. 2009, October. International Federation of Accountants Management Seminar. New York, NY. Spoke to 40 members of IFAC management on "Overview of ERM."
97. 2009, August. AAA Annual Meeting. New York, NY. Speaker at concurrent session, "Research Opportunities in Enterprise Risk Management."
98. 2009, July. AICPA's Webinar Series, New York, NY. Panelist on live studio webinar, "Understanding the New COSO Report: Monitoring of Internal Control."
99. 2009, June. New York Stock Exchange's Conference on Challenges and Solutions of Managing Risk: Return to Enhancing Shareholder Value, NYSE, New York, NY. Panelist on "Building ERM into Planning, Strategy, and Culture."
100. 2009, June. Institute of Internal Auditors Financial Services Conference, IIA, Orlando, FL. "ERM From Industry, Academia, and the Real World."
101. 2009, May. AICPA National CFO Conference, AICPA, Torres Pines, CA, "Connecting Strategy and Enterprise Risk Management."
102. 2009, April. AICPA's Assurance Services Executive Committee, AICPA, "Report on Current State of Enterprise Risk Oversight."
103. 2009, March. North Carolina Banking Associations Directors Assembly. Greensboro, NC. "Rising Expectations Related to Risk Oversight: Creating a Top-Down View."
104. 2009, February. Webinar Hosted by Audit Section of American Accounting Association. Raleigh, NC. "Overview of COSO's New Guidance on Monitoring Internal Control."
105. 2009, January. Mid-Year Meeting of the Audit Section of the American Accounting Association. St. Petersburg, FL. "Research Opportunities Related to Risk and Controls."
106. 2008, December. AICPA's SEC Conference. Washington, DC. "Fraudulent Financial Reporting: 1998-2007 An Analysis of U.S. Public Companies."
107. 2008, December. Deloitte's Federal Agency Risk Mitigation Workshop. Washington, DC. "Overview of ERM."
108. 2008, November. AICPA's Assurance Standards Executive Committee Meeting, AICPA, New York, NY, "ERM Landscape."
109. 2008, October. Open Pages OPUS Conference. Boston, MA. "Rising Expectations for Risk Oversight: Taking a Strategic View."
110. 2008, September. Webinar Hosted by the American Bankers Association. "Managing Risk in the New Environment."

111. 2008, August. ERM Symposium Hosted by Ohio State and The Griffith Foundation. Columbus, OH. "ERM Initiative: Four Pillars of Core Strategies."
112. 2008, August. American Accounting Association's 2008 Annual Meeting. Anaheim, CA. "Research Opportunities in ERM and Internal Controls."
113. 2008, July. Institute of Internal Auditors 2008 Annual Global Conference. San Francisco, CA. "ERM 2018 – A Look Ahead."
114. 2008, June. Webinar Hosted by Grant Thornton and Vital Insights. "Strategic Look at ERM: Practical Implications for Sustainability."
115. 2008, May. Bentley University's Governance, Ethics, and Risk Conference. Waltham, MA. "Implementation Challenges in Enterprise Risk Management."
116. 2008, April. Grant Thornton and Vital Insights Webinar. Raleigh, NC. "Connecting Enterprise Risk Management with Corporate Strategy: What Does it Take?"
117. 2008, April. 2008 PCAOB/American Accounting Association Conference. Washington, DC. "Overview of the Inspections Process."
118. 2008, April. Bank of America Investment Banking Executive Team Workshop. Charlotte, NC. "Enterprise Risk Management: Managing Risk and Control from the Top Down."
119. 2008, March. IACON Enterprise Risk Management Summit. London, England. "Embedding ERM in Practice."
120. 2008, February. National Association of Corporate Directors Board Forum. Morrisville, NC. "Prioritizing Corporate Risk."
121. 2008, February. Compliance 360 Inc. Webinar. Raleigh, NC. "Aligning ERM with Your Company's Strategic Direction."
122. 2008, February. OpRisk and Compliance USA Conference. New York, NY. "What Does Enterprise Risk Management Really Mean in Practice?"
123. 2008, January. American Accounting Association Auditing Section Excellence in Audit Education Workshop. Austin, TX. "Assessing the State of Audit Education Today."
124. 2007, October. American Bankers Association's Annual Meeting. San Diego, CA. "The Business Case for Enterprise Risk Management."
125. 2007, October. NC Society of CPAs Accounting Education Forum. Chapel Hill, NC. "Enterprise Risk Management: Are We Adequately Educating the Next Generation of Executives?"

126. 2007, August. National American Accounting Association Meeting. Chicago, IL. "Information Conveyed in Hiring Announcements of Senior Executives Overseeing Enterprise-Wide Risk Management Processes."
127. 2007, May. Government Enterprise Integrators Group (GEIG) Meeting. Washington, DC. "Enterprise Risk Management: Overview of Key Concepts."
128. 2007, May. Duke University Hosted Internal Audit Executives Meetings for Ivy Leagues and other Private Universities. Durham, NC. "State of ERM in Higher Education."
129. 2007, May. Compliance 360 Inc. Webinar. Atlanta, GA, "Growing Expectations for Executives and Boards."
130. 2007, April. PCAOB/AAA 2007 Symposium. Washington, DC. Panelist for "Fraud Prediction Models."
131. 2007, April. North Carolina Substance Abuse Providers Conference. Raleigh, NC. "Overview of Enterprise Risk Management."
132. 2007, March. Institute of Internal Auditor's Mid-Atlantic District Conference. Charlotte, NC. "Overview of Enterprise Risk Management."
133. 2007, March. Office of the North Carolina State Controller. Raleigh, NC. "Developing Effective Internal Controls Using the COSO Model."
134. 2007, February. The Institute of Internal Auditors (IIA) Webcast. Orlando, FL. "Integrating ERM Principles with Governance."
135. 2007, February. DePaul Corporate Governance and ERM Roundtable. Chicago, IL. "Current Issues in Enterprise Risk Management: An Overview."
136. 2007, January. The North Carolina Department of Commerce Banking Commission. Raleigh, NC. "Enterprise Risk Management: An Overview."
137. 2006, November. World Congress of Accountants. Istanbul, Turkey. "Enterprise Risk Management: An Overview."
138. 2006, November. Federal Reserve Bank of Atlanta Sixth District Audit Conference. Atlanta, GA. "Exceeding Expectations for Corporate Governance: A Value Enhancing Opportunity for Internal Audit."
139. 2006, October. University of Ottawa's 15th Annual CGA-Accounting Research Centre Conference. Ottawa, Canada. "Improving Corporate Governance by Taking an Enterprise View of Risks."

140. 2006, October. North Carolina Association of Certified Public Accountants (NCACPA's) 2006 Fraud Conference. Greensboro, NC. "Internal Controls: Why They Fail and How to Make Them Work."
141. 2006, July. North Carolina Bank Directors' College. Chapel Hill, NC. (1) "What are Audit Committees Really Doing? (2) "Emerging Expectations for Boards Related to Risk Management."
142. 2006, July. SAS Webcast on the State of Risk Management Today. Cary, NC. "COSO and Basel and SOX, Oh My!"
143. 2006, June. Public Company Accounting Oversight Board (PCAOB) Standard Advisory Group (the SAG). Washington, DC. "Overview of Nine Research Syntheses Projects."
144. 2006, June. North Carolina Association of CPAs (NACPA) Small Business Forum. Chapel Hill, NC. "Enterprise Risk Management: A Corporate Governance Perspective."
145. 2006, June. University of Michigan's Integrated Risk Management and Operations and Global Supply Chain Management Conference. Ann Arbor, MI. "Enterprise Risk Management: A Corporate Governance Perspective."
146. 2006, May. Institute of Industrial Engineers: Annual Meeting. Orlando, FL. "Implementing ERM."
147. 2006, April. Institute of Management Accountants: RTP Chapter Meeting. Raleigh, NC. "Enterprise Risk Management: An Emerging Best Practice."
148. 2006, April. Institute of Internal Auditors: RTP Chapter Meeting. Raleigh, NC. "Enterprise Risk Management: An Emerging Best Practice."
149. 2006, March. Board of Visitors: NC State University Raleigh, NC. "Overview of Enterprise Risk Management."
150. 2006, February. PCAOB/AAA 2006 Symposium. Washington, DC. "Fraudulent Financial Reporting."
151. 2006, February. Risk and Insurance Management Society (RIMS): RTP Chapter Meeting. Raleigh, NC. "Enterprise Risk Management."
152. 2006, January. Board of Advisors: NC State College of Management. Raleigh, NC. "Overview of Enterprise Risk Management."
153. 2005, November. Federal Reserve Bank Internal Auditors. New York, NY. "Exceeding Expectations Related to Risks and Controls."
154. 2005, October. Webcast hosted by Managing Automation. "Leveraging Sarbanes-Oxley Compliance into Enterprise Risk Management."

155. 2005, October. National Association of Corporate Directors (NACD), RTP Chapter. Raleigh, NC. "Audit Committees - Getting Behind the Numbers."
156. 2005, October. NC State Graduate Symposium. Raleigh, NC. Moderated Panel Session, "Enterprise Risk Management."
157. 2005, October. North Carolina Citizens for Business and Industry, Young Executives Forum. Raleigh, NC. "Overview of Enterprise Risk Management."
158. 2005, October. Association of Government Accountants, NC Triangle Chapter. Raleigh, NC. "Overview of COSO's Integrated Framework on Internal Controls."
159. 2005, August. Annual Meeting of the American Accounting Association. San Francisco, CA. COSO's Enterprise Risk Management Integrated Framework.
160. 2005, July. Hospital Corporation of America's Internal Audit Annual Seminar. Nashville, TN. "Overview of the COSO Internal Control – Integrated Framework."
161. 2005, April. S&ME Engineering. Raleigh, NC. Enterprise Risk Management.
162. 2005, April. Business Solution Partners. Raleigh, NC. "Meeting Expectations Related to Risks and Controls: Best Practices for Management and Boards."
163. 2005, March. North Carolina Bankers' Association Annual Meeting. Greensboro, NC. "Meeting Expectations Related to Risks and Controls: Best Practices for Management and Boards."
164. 2005, February. Wake Medical Center. Raleigh, NC. Enterprise Risk Management.
165. 2005, January. Chancellor's Academic and Administrative Group Meeting. Raleigh, NC. "Enterprise Risk Management: An Emerging Best Practice."
166. 2004, December. Hospital Corporation of America's Healthcare and Accounting Update. Nashville, TN. "Considering the Risk of Fraud: Antifraud Programs and Controls."
167. 2004, October. University of North Carolina System Internal Auditors' Annual Meeting. "Leveraging Your Risk Analysis Skills – A Look at Enterprise Risk Management."
168. 2004, October. Peer Bank ERM Roundtable Group. Conference Call. COSO's new ERM guidance.
169. 2004, September. NC State Department of Accounting Research Workshop. Presented working paper, "Factors Associated with Internal Audit's Role in Enterprise Risk Management."
170. 2004, September. U.S. Department of Agriculture – Rural Utilities Service Seminar. Dallas, TX. "Improving Auditor Detection of Financial Reporting Fraud."

171. 2004, September. Hospital Corporation of America's Internal Audit Annual Seminar. Fall Creek Falls, TN. "Overview of SAS No. 99 – Auditor Detection of Fraud."
172. 2004, August. U.S. Department of Agriculture – Rural Utilities Service Seminar. Charleston, SC. "Improving Auditor Detection of Financial Reporting Fraud."
173. 2004, August. MBA Orientation NC State. Raleigh, NC. "Ethics: Taking Stock."
174. 2004, August. AAA Annual Meeting. Orlando, FL. Discussant of 3 research papers in concurrent session, "Auditor Tenure and Auditor Quality."
175. 2004, August. AAA Annual Meeting. Orlando, FL. Panelist in session, "Preparing Students for Today's Audits."
176. 2004, July. Board of Directors Meeting for the Independent Insurance Agents of North Carolina. Sarbanes-Oxley, Audit Committee Best Practices, and Enterprise Risk Management.
177. 2004, July. Risk Management Congress. London, England. Plenary speaker with presentation, "An Outline of COSO's Enterprise Risk Management Framework."
178. 2004, May. Canadian American Accounting Association (CAAA) Annual Meeting. Vancouver, British Columbia. Plenary speaker with presentation, "Corporate Governance and Fraud: U.S. Perspectives."
179. 2004, May. Business Continuity Planners Triangle Chapter Meeting. Raleigh, NC. "An Outline of COSO's Enterprise Risk Management Framework."
180. 2004, May. National Association of Corporate Directors (NACD) Triangle Chapter Meeting. Raleigh, NC. Moderator of panel, "The Audit Committee: Pinnacle of Your Directors' Career or Free Pass to Prison."
181. 2004, May. Institute of Internal Auditors Triangle Chapter Meeting. Raleigh, NC. "An Outline of COSO's Enterprise Risk Management Framework".
182. 2004, April. Effective Compliance Systems in Higher Education Conference. Austin, TX. "Implementing ERM in Higher Education."
183. 2004, April. Prentice Hall PHASE Meeting. San Francisco, CA. "Fraud Busters: The New Post Enron Role For Accountants."
184. 2004, March. IACON Conference. London, England. "An Outline of the New COSO ERM Framework."

185. 2004, January. AAA Auditing Section Mid-Year Meeting. Clearwater Beach, FL. Discussant of working paper, "Audit Committee Effectiveness and Internal Audit Outsourcing."
186. 2004, January. First Citizens Bank Internal Audit Group. Raleigh, NC. "Leadership in Enterprise Risk Management."
187. 2003, November. Shelton Leadership Forum. Raleigh, NC. "Leadership in ERM."
188. 2003, October. Georgia State Controller's Roundtable. Atlanta, GA. "Leadership in Enterprise Risk Management: A Look at COSO's Proposed Framework"
189. 2003, October. First Citizens Bank Client Bank Executive Retreat. Pinehurst, NC. "Taking the High Road: Sarbanes-Oxley Act of 2002."
190. 2003, October. College of Management Faculty Presentation. Raleigh, NC. "Leadership in Enterprise Risk Management."
191. 2003, September. Georgia State Center on Enterprise Risk Management and Assurance Services Roundtable. Atlanta, GA. "Leadership in Enterprise Risk Management: A Look at COSO's Proposed Framework."
192. 2003, August. COM Faculty Meeting. Raleigh, NC. "Center for Enterprise Risk Management."
193. 2003, August. MAC Orientation NC State. Raleigh, NC. "Making the Most Out of Your MAC Experience."
194. 2003, August. MBA Orientation NC State. Raleigh, NC. Two presentations of "Ethics: Taking Stock."
195. 2003, August. AAA Annual Meeting. Honolulu, HI. Discussant of 3 research papers in presentation, "Corporate Oversight and Financial Reporting."
196. 2003, July. Business Continuity Workshop. Raleigh, NC. "Providing Leadership in Enterprise Risk Management."
197. 2003, June. First Citizens Bank – Senior Management Group. Raleigh, NC. "Providing Leadership in Enterprise Risk Management."
198. 2003, May. Federation of Schools of Accountancy. Chicago, IL. "Research Opportunities in Fraud."
199. 2003, May. Raleigh Investment Club. Raleigh, NC. "Corporate Governance Trends and Fraud."

200. 2003, May. Bull and Bear Investment Club. Raleigh, NC. "Investor Assessment of Entity Risks."
201. 2003, May. Deloitte & Touche/Federation of Schools of Accountancy's Annual Consortium. Chicago, IL. "Research Opportunities in Fraud."
202. 2003, April. NC State Office of Extension and Engagement Meeting. Raleigh, NC. "Overview of Enterprise Risk Management and the proposed ERM Center in the COM."
203. 2003, April. NC State's Board of Trustees Finance and Planning Committee. Raleigh, NC. "Overview of Enterprise Risk Management."
204. 2003, March. NC State's Internal Audit Group. Raleigh, NC. Risk Identification and Assessment Training Session and Discussion.
205. 2003, March. United Energy, Inc. Raleigh, NC. "Ethics and Fraud."
206. 2003, March. North Carolina Emerging Information Technology Association's Annual Meeting. Chapel Hill, NC. "Corporate Governance and Tax Policy."
207. 2003, February. NC State Department of Accounting Faculty Workshop. Raleigh, NC. "Leadership Opportunities in Enterprise Risk Management."
208. 2003, February. University of Tennessee Department of Accounting Faculty. Knoxville, TN. "Leadership Opportunities in Corporate Governance."
209. 2003, January. NC State College of Management Board of Advisors. Raleigh, NC. "Proposed Center on Enterprise Risk Management."
210. 2003, January. AAA Auditing Section Mid-Year Meeting. Huntington Beach, CA. Discussant of working paper, "Audit Committee Composition and Shareholder Voting on Auditor Ratification."
211. 2002, November. Association of Governmental Accountants. Raleigh, NC. "Improving Auditor Detection of Financial Reporting Fraud."
212. 2002, November and September. Virginia Accounting and Auditing Conference. Virginia Beach, VA and Roanoke, VA, respectively. "Improving Auditor Detection of Financial Reporting Fraud."
213. 2002, October. Risk Management Associate's Brainstorming Session. Raleigh, NC. "Enterprise Risk Management."
214. 2002, September. Wake County Estate Planning Council. Raleigh, NC. "Corporate Financial Statement Fraud."

215. 2002, August. Contractors Professional Network Regional Meetings. Raleigh, NC. "Fraudulent Financial Reporting: Could It Happen To You?"
216. 2002, January. AAA Auditing Section Mid-Year Meeting. Orlando, FL. Discussant of working paper, "A Stress of the Effects of Bankruptcy Risk, Accounting Quality and Corporate Governance on Audit Fees: Evidence from Hong Kong During the Asian Financial Crisis."
217. 2001, December. NC State Continuing Professional Education Program for CPAs. Raleigh, NC. "Auditing Standards Board Update" and "Financial Statement Fraud Detection: Revision of SAS No. 82 Revision."
218. 2001, October. Virginia Accounting and Auditing Annual Conference. Roanoke, VA. "Detecting Financial Statement Fraud: SAS No. 82 Revision."
219. 2001, June. FBI Academy. Quantico, VA. "Assessing and Detecting Financial Statement Fraud."
220. 2001, March. Prentice Hall PHASE Conference. Orlando, FL. "Attracting, Retaining and Motivating Accounting Students."
221. 2000, November. NC State COM Graduate Symposium. Raleigh, NC. Impact of fraud research on auditing profession.
222. 2000, November. Virginia Accounting and Auditing Annual Conference. Norfolk, VA. "Assessing and Detecting Financial Statement Fraud."
223. 2000, October. Virginia Accounting and Auditing Annual Conference. Roanoke, VA. "Assessing and Detecting Financial Statement Fraud."
224. 2000, August. Annual Meeting of the American Accounting Association. Philadelphia, PA. Presented research monograph, **Fraud-Related SEC Enforcement Actions Against Auditors: 1987-1997.**
225. 2000, August. NC State Beta Alpha Psi Meeting. Raleigh, NC. Panelist for discussion, "Business Etiquette."
226. 2000, June. Institute of Internal Auditors' International Conference. New York, NY. "Red Flags of Fraud: An Analysis of Fraudulent Financial Reporting."
227. 2000, April. University of Arkansas Faculty and PhD Student Accounting Workshop. Fayetteville, AR. "The Relationship Between Board Characteristics and Voluntary Improvements in Audit Committee Composition and Experience."

228. 2000, February. NC State Beta Alpha Psi Chapter Meeting. Raleigh, NC. Presented research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
229. 2000, February. University of Kentucky Faculty and PhD Student Accounting Workshop. Louisville, KY. Presented working paper, “The Relationship Between Board Characteristics and Voluntary Improvements in Audit Committee Composition and Experience.”
230. 2000, January. AAA Auditing Section Mid-Year Meeting. Newport Beach, CA. Discussant of working paper, “The Difficult Client-Acceptance Decision in Canadian Audit Firms: A Field Investigation.”
231. 1999, December. AICPA National Conference on Current SEC Developments. Washington, DC. Presented overview of research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.** Presentation simulcasts occurred in London, Toronto, and two other Washington DC locations.
232. 1999, December. Ernst & Young, LLP’s Accounting and Auditing Partners Group. Washington, DC. Presented overview of research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
233. 1999, September. Oklahoma State University’s Department of Accounting Research Workshop. Stillwater, OK. Presented working paper, “Cross-sectional Variation in Audit Committee Composition and Member Experience.”
234. 1999 August. Annual Meeting of the American Accounting Association. San Diego, CA. Presented results from research monograph **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
235. 1999, August. Annual Meeting of the American Accounting Association. San Diego, CA. Presented working paper, “Cross-sectional Variation in Audit Committee Composition and Member Experience.”
236. 1999, May. AICPA Annual Spring Industry Conference. Rio Mar, Puerto Rico. Presented results from research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
237. 1999, April. American Accounting Association’s Southeast Regional Meeting. Atlanta, GA. Presented results from research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
238. 1999, March. COSO Press Conference. New York, NY. Presented comments on research monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.** Reporters included *The Wall Street Journal*, *The New York Times*, *Business Week*, *Fortune*, *Associated Press*, *The Economist*.

239. 1999, February. Meeting of the Committee of Sponsoring Organizations of the Treadway Commission (COSO). Atlanta, GA. Presented overview of research results and draft monograph of financial statement fraud research.
240. 1999, February. Securities and Exchange Commission, Washington, DC. Presented results of the COSO Monograph, **Fraudulent Financial Reporting: 1987-1997, An Analysis of U.S. Public Companies.**
241. 1999, January. University of Waterloo Faculty and Ph.D. Student Accounting Workshop. Waterloo, Ontario. Presented working paper, "Board Independence and Audit Firm Type."
242. 1999, January. NC State University Accounting Workshop. Raleigh, NC. Presented working paper, "Audit Committee Composition and Member Experience: An Agency Analysis."
243. 1999, January. Auditing Section Mid-Year Meeting. Atlanta, GA. Discussant of working paper, "The Impact of Management Team Characteristics and Agency Structure on Disclosure Fraud."
244. 1998, August. AAA Annual Meeting. New Orleans, LA. Presented working paper, "Board Governance and Audit Firm Type."
245. 1998, June. International Symposium on Audit Research. Sydney, Australia. Presented working paper, "Board Governance and Audit Firm Type."
246. 1998, March. Brigham Young University Accounting Colloquium. Provo, UT. Presented working paper, "Board Governance and Audit Firm Type."
247. 1998, March. University of Utah Accounting Colloquium. Salt Lake City, UT. Presented working paper, "Board Governance and Audit Firm Type."
248. 1998, February. Boston College Accounting Colloquium. Boston, MA. Presented working paper, "Board Governance and Audit Firm Type."
249. 1998, February. American Taxation Association Mid-Year Meeting. Atlanta, GA. Presented working paper, "The Impact of State Taxation on the Investment Portfolio of Banks."
250. 1998, February. North Carolina Accounting Education Colloquium. Wilmington, NC. Presented overview of course, "Assessing Risks of Information Technology."
251. 1998, January. American Accounting Association's Mid-Year Auditing Section Meeting. Mesa, AZ. Discussant of paper, "The Effect of Audit Committee Characteristics on Financial Reporting."
252. 1997, October. North Carolina Division of Internal Auditors CPE Session. Raleigh, NC. Conducted CPE session, "Role of Information Technology in Emerging Assurance Services."

253. 1997, October. North Carolina State University Accounting Workshop. Raleigh, NC. Presented proposal, "Board Governance and Audit Firm Choice."
254. 1997, August. American Accounting Association's Annual Meeting. Dallas, TX. Discussant for concurrent session "Fraud, Corporate Governance, and the Audit Process."
255. 1997, June. International Symposium on Auditing Research. Singapore. Presented working paper, "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data."
256. 1997, April. North Carolina State University Accounting Workshop. Raleigh, NC. Presented proposal, "The Impact of State Taxation on the Investment Portfolio of Banks."
257. 1997, February. Georgia State University Accounting Workshop. Atlanta, GA. Presented working paper, "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data."
258. 1997, January. North Carolina State University Accounting Workshop. Raleigh, NC. Presented working paper, "Improving the Accuracy and Precision of Analytical Procedures Using Multilocation Data."
259. 1995, October. North Carolina State University Accounting Workshop. Raleigh, NC. Presented proposal, "The Effect of Tax Regulation on Executive Compensation and Corporate Governance."
260. 1995, January. American Accounting Association's Mid-Year Auditing Section Meeting. Las Vegas, NV. Presented paper, "An Empirical Analysis of the Relation Between Corporate Governance and Management Fraud."
261. 1994, September. University of Illinois Auditing Symposium. Champaign-Urbana, IL. Presented paper, "Errors in Accounting Estimates and Their Relation to Audit Firm Type."
262. 1994, August. American Accounting Association's Annual Meeting. New York, NY. Presented paper, "An Empirical Analysis of the Relation Between Corporate Governance and Management Fraud."
263. 1993, August. American Accounting Association's Annual Meeting. San Francisco, CA. Presented paper, "Errors in Accounting Estimates and Their Relation to Audit Firm Type."